



ASIC

Australian Securities & Investments Commission

Our Reference:

4 July 2014

NSW Police
Locked Bag 5102
Parramatta NSW 2124

To the appropriate officer,

**UNSOLICITED RELEASE OF INFORMATION UNDER THE AUSTRALIAN
SECURITIES AND INVESTMENTS COMMISSION ACT 2001 CTH ("ASIC
ACT")**

Under section 127(4) of the *Australian Securities and Investments Commission Act 2001* (Cth) ("the ASIC Act"), the Chairman of the Australian Securities and Investments Commission ("ASIC") is authorised to release certain information to other agencies where the Chairman is satisfied that the disclosure of such information will enable the agency to perform a function or exercise a power. The NSW Police is an "agency" for the purposes of section 127(4)(a) of the ASIC Act.

I am a duly authorised delegate of the Chairman of ASIC under section 127(5) of the ASIC Act. In that capacity, I advise you that I am satisfied that disclosure of the documents detailed in this letter may assist the NSW Police to perform its functions or exercise its powers. Accordingly, I have decided to release the documents under section 127(4) of the ASIC Act.

Background

04 July 2014

Yours sincerely,

Leigh Coughlan
Senior Manager, Misconduct & Breach Reporting NSW-ACT
Australian Securities and Investments Commission

04 July 2014

SCHEDULE

1. Copy of eComplaint dated 24 June 2014 lodged with ASIC.
2. Copy of Telephone Attendance with
3. Copy of eComplaint dated 19 October 2012

