



Date: 12 October 2012

SPEAR ID:12-40023



ASIC

Australian Securities & Investments Commission

Commonwealth Bank Building
240 Queen Street, Brisbane
GPO Box 9827 Brisbane QLD 4001
DX 322 Brisbane

Telephone: (07) 3867 4725
Facsimile: (07) 3867 4725

IP BLOCKING REQUEST

To: Telstra
Optus OB IP NMC T1 [A/C: 50060]
AAPT

Fax: (03) 9632 8561 9650 - 1234
Fax: (02) 8085 6111
Fax: ~~(02) 8282 4940~~ 02 9009 1705
02 9009 1735

Please block routing to the following foreign IP address from within Australia for a period of one calendar month from the date of this request:

IP Address	Hosting Country
109. 236.83.31	Netherlands (Maasdijk)

I consider that the blocking of access to the IP Address nominated above is reasonably necessary within the meaning of **Section 313(3) of the Telecommunications Act 1997 (Cth)**, and is sought pursuant to the investigation of the offences by the Australian Securities & Investments Commission under the provisions of:

- **sections 1041G (Dishonest Conduct), 1041F (Inducing a person to deal in a financial product) and 911A(1) (unlicensed dealing in financial products) of the Corporations Act 2001 (Cth), and**
- **section 408C (Fraud) of the Criminal Code (Queensland) .**

Should you require any further information concerning this request, please contact me on (07) 38674709 or 0411 549043 or email to Michael.ryan@asic.gov.au.

Thank you for your assistance in this matter.

Michael R. Ryan
Senior Manager
Financial Services Enforcement
Australian Securities & Investments Commission



Date: 23 November 2012

SPEAR ID:12-400XX



ASIC

Australian Securities & Investments Commission

Commonwealth Bank Building
240 Queen Street, Brisbane
GPO Box 9827 Brisbane QLD 4001
DX 322 Brisbane

Facsimile (07) 3867 4723

IP BLOCKING REQUEST

To: Telstra
Optus OB IP NMC T1 [A/C: 50060]
AAPT

Fax: (03) 9650 1234
Fax: (02) 8085 6111
Fax: (02) 9009 1705

Please block routing to the following foreign IP address from within Australia for a period of one calendar month from the date of this request:

IP Address	Hosting Country
50.63.33.157	Scottsdale, Arizona, USA

I consider that the blocking of access to the IP Address nominated above is reasonably necessary within the meaning of **Section 313(3) of the Telecommunications Act 1997 (Cth)**, and is sought pursuant to the investigation of the offences by the Australian Securities & Investments Commission under the provisions of:

- **sections 1041G (Dishonest Conduct), 1041F (Inducing a person to deal in a financial product) and 911A(1) (unlicensed dealing in financial products) of the Corporations Act 2001 (Cth), and**
- **section 408C (Fraud) of the Criminal Code (Queensland),**

in respect to an unlicensed and suspected fraudulent financial services business using the name 'Swiss Private Capital' operation in Australia

Should you require any further information concerning this request, please contact me on (07) 38674709 or 0411 549043 or email to Michael.ryan@asic.gov.au.

Thank you for your assistance in this matter.

Michael R. Ryan
Senior Manager
Financial Services Enforcement
Australian Securities & Investments Commission



Date: 28 November 2012

SPEAR ID:12-400XX



ASIC

Australian Securities & Investments Commission

Commonwealth Bank Building
240 Queen Street, Brisbane
GPO Box 9827 Brisbane QLD 4001
DX 322 Brisbane

Facsimile: (07) 3867 4725

IP BLOCKING REQUEST

To: Telstra
Optus OB IP NMC T1 [A/C: 50060]
AAPT
Pacnet

Fax: (03) 9650 1234
Fax: (02) 8085 6111
Fax: (02) 9009 1705
FAX: (03) 9698 4933

Please block routing to the following foreign IP address from within Australia for a period of one calendar month from the date of this request:

IP Address	Hosting Country
50.63.33.157	Scottsdale, Arizona, USA

I consider that the blocking of access to the IP Address nominated above is reasonably necessary within the meaning of **Section 313(3) of the Telecommunications Act 1997 (Cth)**, and is sought pursuant to the investigation of the offences by the Australian Securities & Investments Commission under the provisions of:

- sections 1041G (Dishonest Conduct), 1041F (Inducing a person to deal in a financial product) and 911A(1) (unlicensed dealing in financial products) of the *Corporations Act 2001 (Cth)*, and
- section 408C (Fraud) of the *Criminal Code (Queensland)*,

in respect to an unlicensed and suspected fraudulent financial services business using the name 'Swiss Private Capital' operation in Australia

Should you require any further information concerning this request, please contact me on (07) 38674709 or 0411 549043 or email to Michael.ryan@asic.gov.au.

Thank you for your assistance in this matter.

Michael R. Ryan
Senior Manager
Financial Services Enforcement



ASIC

Australian Securities & Investments Commission

Date: 19 December 2012

SPEAR ID: 12-40023

Commonwealth Bank Building
240 Queen Street, Brisbane
GPO Box 9827 Brisbane QLD 4001
DX 322 Brisbane

Telephone: (07) 3867 4700
Facsimile: (07) 3867 4725

IP BLOCKING REQUEST

To: Telstra
Optus OB IP NMC T1 [A/C: 50060]
AAPT
Pacnet

Fax: (03) 9650 1234
Fax: (02) 8085 6111
Fax: (02) 9009 1705
Fax: 1300 555 072

Please block routing to the following foreign IP address from within Australia for a period of one calendar month from the date of this request:

IP Address	Hosting Country
109.236.83.198	Netherlands (Maasdijk)

I consider that the blocking of access to the IP Address nominated above is reasonably necessary within the meaning of **Section 313(3) of the Telecommunications Act 1997 (Cth)**, and is sought pursuant to the investigation of the offences by the Australian Securities & Investments Commission under the provisions of:

- **sections 1041G (Dishonest Conduct), 1041F (Inducing a person to deal in a financial product) and 911A(1) (unlicensed dealing in financial products) of the Corporations Act 2001 (Cth), and**
- **section 408C (Fraud) of the Criminal Code (Queensland),**

in respect to an unlicensed fraudulent financial services business using the name 'Prestige Private Wealth' operating in Australia.

Should you require any further information concerning this request, please contact me on (07) 38674709 or 0411 549043 or email to Michael.ryan@asic.gov.au.

Thank you for your assistance in this matter.

Michael R. Ryan
Senior Manager
Financial Services Enforcement
Australian Securities & Investments Commission



ASIC

Australian Securities & Investments Commission

Date: 9 January 2013

Our ref: SPEAR ID: 12-50005

100 Pirie Street, Adelaide
GPO Box 9827 Adelaide SA 5001

Telephone: (08) 8202 8400
Facsimile: (08) 8202 8410

IP BLOCKING REQUEST

To: Telstra
Optus OB IP NMC T1 [A/C: 50060]
AAPT
Pacnet

Fax: (03) 9650 1234
Fax: (02) 8085 6111
Fax: (02) 9009 1705
Fax: 1300 555 072

Please block routing to the following foreign IP address from within Australia for a period of one calendar month from the date of this request:

IP Address	Hosting Country
68.169.51.20	United States of America

The blocking of access to the IP Address nominated above is sought pursuant to **Section 313 of the Telecommunications Act 1997 (Cth)** in connection with the investigation by the Australian Securities & Investments Commission of possible contraventions by John William Ullmann and/or China Environment Group Ltd of the following sections of the Corporations Act 2001:

- s727(offering securities without a current disclosure document);
- s736 (security hawking prohibited);
- s911A (unlicensed conduct);
- s1041E (false or misleading statements inducing persons to apply for or dispose of financial products); and/or
- s1041G (dishonest conduct in relation to a financial service or financial product).

Should you require any further information concerning this request, please contact me on (08) 8202 8481 or 0411 549 133 or by email to Ralph.Holmes@asic.gov.au.

Thank you for your assistance in this matter.

Ralph Holmes
Senior Manager, Financial Services Enforcement
Australian Securities & Investments Commission



ASIC

Australian Securities & Investments Commission

Date: 15 February 2013

SPEAR ID: 12-40025

Commonwealth Bank Building
240 Queen Street, Brisbane
GPO Box 9827 Brisbane QLD 4001
DX 322 Brisbane

Facsimile: (07) 3867 4725

IP BLOCKING REQUEST

To: Telstra
Optus OB IP NMC T1 [A/C: 50060]
AAPT
Pacnet

Fax: (03) 9650 1234
Fax: (02) 8085 8508
Fax: (02) 9009 1705
Fax: 1300 555 072

Please block routing to the following foreign IP addresses from within Australia for a period of one calendar month from the date of this request:

IP Address	Hosting Country
50.63.33.157	Scottsdale,
50.63.43.206	Arizona, USA

I consider that the blocking of access to the IP Address nominated above is reasonably necessary within the meaning of **Section 313(3) of the Telecommunications Act 1997 (Cth)**, and is sought pursuant to the investigation of the offences by the Australian Securities & Investments Commission under the provisions of:

- sections 1041G (Dishonest Conduct), 1041F (Inducing a person to deal in a financial product) and 911A(1) (unlicensed dealing in financial products) of the **Corporations Act 2001 (Cth)**, and
- section 408C (Fraud) of the **Criminal Code (Queensland)**,

in respect to an unlicensed fraudulent financial services business using the names 'Swiss Private Capital' and 'Swiss Union' operating in Australia.

Should you require any further information concerning this request, please contact me on (07) 38674709 or 0411 549043 or email to Michael.ryan@asic.gov.au.

Thank you for your assistance in this matter.

Michael R. Ryan
Senior Manager
Financial Services Enforcement
Australian Securities & Investments Commission



ASIC

Australian Securities & Investments Commission

Date: 14 March 2013

Our ref: SPEAR ID: 12-50005

100 Pirie Street, Adelaide
GPO Box 9827 Adelaide SA 5001

Telephone: (08) 8202 8400
Facsimile: (08) 8202 8410

IP BLOCKING REQUEST

To: Telstra
Optus OB IP NMC T1 [A/C: 50060]
AAPT
Pacnet

Fax: (03) 9650 1234
Fax: (02) 8085 6111
Fax: (02) 9009 1705
Fax: 1300 555 072

Please block routing to the following foreign IP address until further notice:

IP Address	Hosting Country
68.169.51.20	United States of America

The blocking of access to the IP Address nominated above is sought pursuant to **Section 313 of the Telecommunications Act 1997 (Cth)** in connection with the investigation by the Australian Securities & Investments Commission of suspected contraventions by John William Ullmann and/or China Environment Group Ltd of the following sections of the Corporations Act 2001:

- s727(offering securities without a current disclosure document);
- s736 (security hawking prohibited);
- s911A (unlicensed conduct);
- s1041E (false or misleading statements inducing persons to apply for or dispose of financial products); and/or
- s1041G (dishonest conduct in relation to a financial service or financial product).

A copy of an order of the Federal Court of Australia dated 13 March 2013 requiring Mr Ullmann to deactivate the principal website is attached for your information. Your attention is drawn to paragraph 4.

Should you require any further information concerning this request, please contact me on (08) 8202 8481 or 0411 549 133 or by email to Ralph.Holmes@asic.gov.au.

Thank you for your assistance in this matter.


Ralph Holmes
Senior Manager, Financial Services Enforcement
Australian Securities & Investments Commission

Order Entered

No: SAD46/2013

Federal Court of Australia
District Registry: South Australia
Division: General

In the matter of CHINA ENVIRONMENT GROUP LTD

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION
Plaintiff

CHINA ENVIRONMENT GROUP LTD and another named in the schedule
Defendant

ORDER

JUDGE: Besanko J
DATE OF ORDER: 13 March 2013
WHERE MADE: Adelaide

PENAL NOTICE:

IF YOU, JOHN WILLIAM ULLMANN;

- (A) REFUSE OR NEGLECT TO DO ANY ACT WITHIN THE TIME SPECIFIED IN THIS ORDER; OR
- (B) DISOBEY THE ORDER BY DOING AN ACT WHICH THE ORDER REQUIRES YOU TO ABSTAIN FROM DOING;

YOU WILL BE LIABLE TO IMPRISONMENT, SEQUESTRATION OF PROPERTY, OR ANY OTHER ACTION THE COURT MAY DETERMINE.

IF ANY PERSON WHO KNOWS OF THIS ORDER DOES ANYTHING TO ASSIST YOU IN DISOBEYING THE ORDER AS SPECIFIED ABOVE, THEY WILL ALSO BE LIABLE TO IMPRISONMENT, SEQUESTRATION OF PROPERTY, OR ANY OTHER ACTION THE COURT MAY DETERMINE.

THE COURT ORDERS THAT:

1. Pursuant to r 1.34 of the *Federal Court Rules 2011* any requirement that the plaintiff file a supporting affidavit contemporaneously with the originating process be dispensed with.
2. Pursuant to r 10.23 of the *Federal Court Rules 2011* the second defendant be deemed to have been served on 7 March 2013 with the originating process and the interlocutory process.
3. Until further order the second defendant, John William Ullmann ("Ullmann") be restrained from:
 - 3.1. carrying on a business in Australia by which he or his servants or agents:
 - 3.1.1. provide advice in relation to any financial product (including but not limited to securities);
 - 3.1.2. deal in, or arrange for any person to apply for, or to acquire, any financial product (including but not limited to securities);
 - 3.1.3. solicit investment in China Environment Group Limited ("CEG") from members of the public;
 - 3.1.4. receive funds deposited with, or intended for investment in, CEG;
 - 3.2. parting with possession of, or disposing of, destroying, amending, altering, or causing or procuring the destruction, amendment or alteration of, the books and records of CEG, and any documents recording money paid to or received by CEG.
4. Ullmann, whether personally or by his servants, agents or employees, cause to be deactivated, so that it may not be accessed by internet protocol addresses in Australia, all internet websites promoting, advertising, marketing or offering of the business of CEG, in particular but not limited to the website conducted with the domain name "http:www.chinaenvironmentgroup.com".
5. Pursuant to r 10.44(1) the plaintiff, Australian Securities and Investments Commission ("ASIC") be given leave to serve these orders:
 - 5.1. on Ullmann by sending these orders addressed to Ullmann by post to:
Flat 29C, Block 4
South Horizons
Ap Lei Chau
Hong Kong
6. If the defendant does not obey the orders, he will be liable to Court proceedings and punishment for contempt and compelled to obey the orders.

Date that entry is stamped: 13 March 2013.

District Registrar

Schedule

No: SAD46/2013

Federal Court of Australia

District Registry: South Australia

Division: General

Second Defendant:

JOHN WILLIAM ULLMANN



ASIC

Australian Securities & Investments Commission

Date: 18 March 2013

SPEAR ID: 12-40023

Commonwealth Bank Building
240 Queen Street, Brisbane
GPO Box 9827 Brisbane QLD 4001
DX 322 Brisbane

Telephone: (07) 3867 3700
Facsimile: (07) 3867 4725

IP BLOCKING REQUEST

To: Telstra
Optus OB IP NMC T1 [A/C: 50060]
AAPT
Pacnet

Fax: (03) 9650 1234
Fax: (02) 8085 6111
Fax: 02 9009 1735
Fax: 1300 555 072

Please block routing to the following foreign IP addresses from within Australia for a period of one calendar month from the date of this request:

IP Address	Hosting Country
50.63.202.45	Scottsdale, Arizona, USA
217.23.3.165	Tilburg, Netherlands

I consider that the blocking of access to the IP Address nominated above is reasonably necessary within the meaning of **Section 313(3) of the Telecommunications Act 1997 (Cth)**, and is sought pursuant to the investigation of the offences by the Australian Securities & Investments Commission under the provisions of:

- **sections 1041G (Dishonest Conduct), 1041F (Inducing a person to deal in a financial product) and 911A(1) (unlicensed dealing in financial products) of the Corporations Act 2001 (Cth), and**
- **section 408C (Fraud) of the Criminal Code (Queensland),**

in respect to an unlicensed fraudulent financial services business using the names 'Global Capital Wealth' and 'Global Capital Australia' operating in Australia.

Should you require any further information concerning this request, please contact me on (07) 38674709 or 0411 549043 or email to Michael.ryan@asic.gov.au.

Thank you for your assistance in this matter.

Michael R. Ryan
Senior Manager
Financial Services Enforcement
Australian Securities & Investments Commission



ASIC

Australian Securities & Investments Commission

Date: 26 March 2013

SPEAR ID: 12-40023

IP BLOCKING REQUEST

Commonwealth Bank Building
240 Queen Street, Brisbane
GPO Box 9827 Brisbane QLD 4001
DX 322 Brisbane

Telephone: (07) 3867 4700
Facsimile: (07) 3867 4725

To: Telstra
Optus

AAPT
Pacnet
Pipenetworks

Fax: **(03) 9650 1234**
nmccmi@optus.net.au
noc@optus.net.au
Fax: **02 9009 1735**
Fax: **1300 555 072**
legal@pipenetworks.com

Please block routing to the following foreign IP addresses from within Australia for a period of one calendar month from the date of this request:

IP Address	Hosting Country
50.63.202.45	Scottsdale, Arizona, USA
217.23.3.165	Tilburg, Netherlands

I consider that the blocking of access to the IP Address nominated above is reasonably necessary within the meaning of **Section 313(3) of the Telecommunications Act 1997 (Cth)**, and is sought pursuant to the investigation of the offences by the Australian Securities & Investments Commission under the provisions of:

- **sections 1041G (Dishonest Conduct), 1041F (Inducing a person to deal in a financial product) and 911A(1) (unlicensed dealing in financial products) of the Corporations Act 2001 (Cth), and**
- **section 408C (Fraud) of the Criminal Code (Queensland),**

in respect to an unlicensed fraudulent financial services business using the names 'Global Capital Wealth' and 'Global Capital Australia' operating in Australia.

Should you require any further information concerning this request, please contact me on (07) 38674709 or 0411 549043 or email to Michael.ryan@asic.gov.au.

Thank you for your assistance in this matter.

Michael R. Ryan
Senior Manager
Financial Services Enforcement
Australian Securities & Investments Commission



Date: 26 March 2013

SPEAR ID: 12-40025

IP BLOCKING REQUEST

To: Telstra
Optus

AAPT
Pacnet

Fax: (03) 9650 1234
nmccmi@optus.net.au
noc@optus.net.au
Fax: (02) 9009 1705
Fax: 1300 555 072



ASIC

Australian Securities & Investments Commission

Commonwealth Bank Building
240 Queen Street, Brisbane
GPO Box 9827 Brisbane QLD 4001
DX 322 Brisbane

Tel: (07) 3867 4700
Facsimile: (07) 3867 4725

Please block routing to the following foreign IP address from within Australia for a period of three calendar month from the date of this request:

IP Address	Hosting Country
50.63.33.157	Scottsdale, Arizona, USA

I consider that the blocking of access to the IP Address nominated above is reasonably necessary within the meaning of **Section 313(3) of the Telecommunications Act 1997 (Cth)**, and is sought pursuant to the investigation of the offences by the Australian Securities & Investments Commission under the provisions of:

- **sections 1041G (Dishonest Conduct), 1041F (Inducing a person to deal in a financial product) and 911A(1) (unlicensed dealing in financial products) of the Corporations Act 2001 (Cth), and**
- **section 408C (Fraud) of the Criminal Code (Queensland),**

in respect to an unlicensed fraudulent financial services business using the names 'Swiss Private Capital' and 'Swiss Union' operating in Australia.

Should you require any further information concerning this request, please contact me on (07) 38674709 or 0411 549043 or email to Michael.ryan@asic.gov.au.

Thank you for your assistance in this matter.

Michael R. Ryan
Senior Manager
Financial Services Enforcement
Australian Securities & Investments Commission



ASIC

Australian Securities & Investments Commission

Date: 3 April 2013

SPEAR ID: 12-40023

IP BLOCKING REQUEST

Commonwealth Bank Building
240 Queen Street, Brisbane
GPO Box 9827 Brisbane QLD 4001
DX 322 Brisbane

Telephone: (07) 3867 4700
Facsimile: (07) 3867 4725

To: Telstra
Optus

AAPT
Pacnet
Pipenetworks

Fax: (03) 9650 1234
nmccmi@optus.net.au
noc@optus.net.au
Fax: 02 9009 1735
Fax: 1300 555 072
legal@pipenetworks.com

Please block routing to the following foreign IP address from within Australia for a period of two calendar months from the date of this request:

IP Address	Hosting Country
198.136.54.104	Orlando, Florida, USA

I consider that the blocking of access to the IP Address nominated above is reasonably necessary within the meaning of **Section 313(3) of the Telecommunications Act 1997 (Cth)**, and is sought pursuant to the investigation of the offences by the Australian Securities & Investments Commission under the provisions of:

- **sections 1041G (Dishonest Conduct), 1041F (Inducing a person to deal in a financial product) and 911A(1) (unlicensed dealing in financial products) of the Corporations Act 2001 (Cth), and**
- **section 408C (Fraud) of the Criminal Code (Queensland),**

in respect to an unlicensed fraudulent financial services business using the names 'Global Capital Wealth' and 'Global Capital Australia' operating in Australia.

Should you require any further information concerning this request, please contact me on (07) 38674709 or 0411 549043 or email to Michael.ryan@asic.gov.au.

Thank you for your assistance in this matter.

Michael R. Ryan
Senior Manager
Financial Services Enforcement
Australian Securities & Investments Commission



ASIC s313 IP Blocking Request 20130403 (C220127) (Treat as In Confidence) [DLM=Sensitive]

o nmccmi, noc, legal

03/04/2013 04:05 PM

Bcc: [REDACTED]

Pipenetworks: Attention: [REDACTED]

Good Afternoon [REDACTED] Optus Business and Consumers,

Please find attached an IP Blocking Request, pursuant to section 313 of the *Telecommunications Act 1997 (Cth)*, in respect to a website being operated out of the US by an unlicensed and fraudulent financial services business operating in Australia in contravention of the *Corporations Act 2001 (Cth)* and *Criminal Code (Queensland)*.

Thank you for your kind assistance.

Best regards,

[REDACTED]

[REDACTED]

Senior Manager
Financial Services Enforcement - Brisbane
Australian Securities and Investments Commission
(W) [REDACTED], (M) [REDACTED] (F) 07 3867 4725



- ASIC s313 IP Blocking Request 20130403.pdf



ASIC

Australian Securities & Investments Commission

Date: 3 April 2013

SPEAR ID: 12-40023

IP BLOCKING REQUEST

Commonwealth Bank Building
240 Queen Street, Brisbane
GPO Box 9827 Brisbane QLD 4001
DX 322 Brisbane

Telephone: (07) 3867 4725
Facsimile: (07) 3867 4725

To: Telstra
Optus

AAPT
Pacnet
Pipenetworks

Fax: **(03) 9650 1234**
nmccmi@optus.net.au
noc@optus.net.au
Fax: **02 9009 1735**
Fax: **1300 555 072**
legal@pipenetworks.com

Please block routing to the following foreign IP address from within Australia for a period of two calendar months from the date of this request:

<u>IP Address</u>	<u>Hosting Country</u>
198.136.54.104	Orlando, Florida, USA

I consider that the blocking of access to the IP Address nominated above is reasonably necessary within the meaning of **Section 313(3) of the Telecommunications Act 1997 (Cth)**, and is sought pursuant to the investigation of the offences by the Australian Securities & Investments Commission under the provisions of:

- **sections 1041G (Dishonest Conduct), 1041F (Inducing a person to deal in a financial product) and 911A(1) (unlicensed dealing in financial products) of the Corporations Act 2001 (Cth), and**
- **section 408C (Fraud) of the Criminal Code (Queensland),**

in respect to an unlicensed fraudulent financial services business using the names 'Global Capital Wealth' and 'Global Capital Australia' operating in Australia.

Should you require any further information concerning this request, please contact me on (07) 38674709 or 0411 549043 or email to Michael.ryan@asic.gov.au.

Thank you for your assistance in this matter.

Michael R. Ryan
Senior Manager
Financial Services Enforcement
Australian Securities & Investments Commission



RE: ASIC s313 IP Blocking Request 20130403 (C220127) (Treat as In Confidence) [DLM=Sensitive]

NOC o [REDACTED] NOC

03/04/2013 05:25 PM

Cc: NMCCMI

History This message has been replied to.

This email message has been processed by MIMESweeper

Hi [REDACTED]

Can you confirm this is also for Optus to action?

I notice email was only Attention to Pipenetworks.

Best Regards,

[REDACTED]
Senior Network Engineer | Optus Business IP | Network Management Centre | Singtel Optus

T: (02) [REDACTED] | F: (02) 8085 5180 | Email: [REDACTED]@optus.net.au

Optus Centre Macquarie Park, Building E, Level 0G | 1 Lyonpark Road, Macquarie Park NSW 2113

****DISCLAIMER****

This e-mail may contain confidential information.

From: [REDACTED]@asic.gov.au]

Sent: Wednesday, 3 April 2013 5:06 PM

To: NMCCMI; NOC; legal@pipenetworks.com

Subject: ASIC s313 IP Blocking Request 20130403 (C220127) (Treat as In Confidence)
[DLM=Sensitive]

Pipenetworks: Attention: [REDACTED]

Good Afternoon [REDACTED], Optus Business and Consumers,

Please find attached an IP Blocking Request, pursuant to section 313 of the *Telecommunications Act 1997 (Cth)*, in respect to a website being operated out of the US by an unlicensed and fraudulent financial services business operating in Australia in contravention of the *Corporations Act 2001 (Cth)* and *Criminal Code (Queensland)*.

Thank you for your kind assistance.

Best regards,

[REDACTED]

[REDACTED]
Senior Manager
Financial Services Enforcement - Brisbane
Australian Securities and Investments Commission
(W) [REDACTED] (M) [REDACTED] (F) 07 3867 4725

Please consider the environment before printing this document

NOTICE

This e-mail and any attachments are intended for the addressee(s) only and may be confidential. They may contain legally privileged or copyright material. You should not read, copy, use or disclose them without authorisation. If you are not the intended recipient please contact the sender as soon as possible by return e-mail and then please delete both messages. This notice should not be removed.



Re: ASIC s313 IP Blocking Request 20130403 (C220127) (Treat as In Confidence) [DLM=Sensitive]

t
 o NOC

03/04/2013 09:13 PM

Cc: "NMCCMI"

Classification: In Confidence/Sensitive

Hi ,

Yes the Blocking Request is for Optus as well as Pipenetworks. Sorry for the confusion!

Best regards,

Senior Manager
Financial Services Enforcement
Brisbane
Australian Securities and Investments Commission

From: NOC [NOC@optus.net.au]

Sent: 03/04/2013 06:25 PM ZE11

To: NOC <NOC@optus.net.au>

Cc: NMCCMI <nmccmi@optus.net.au>

Subject: RE: ASIC s313 IP Blocking Request 20130403 (C220127) (Treat as In Confidence) [DLM=Sensitive]

This email message has been processed by MIMESweeper

Hi

Can you confirm this is also for Optus to action?

I notice email was only Attention to Pipenetworks.

Best Regards,

Senior Network Engineer | Optus Business IP | Network Management Centre | Singtel Optus

T: (02) | F: (02) 8085 5180 | Email: @optus.net.au

Optus Centre Macquarie Park, Building E, Level 0G | 1 Lyonpark Road, Macquarie Park NSW 2113

****DISCLAIMER****

This e-mail may contain confidential information.

From: [REDACTED]@asic.gov.au]
Sent: Wednesday, 3 April 2013 5:06 PM
To: NMCCMI; NOC; legal@pipenetworks.com
Subject: ASIC s313 IP Blocking Request 20130403 (C220127) (Treat as In Confidence)
[DLM=Sensitive]

Pipenetworks: Attention: [REDACTED]

Good Afternoon [REDACTED] Optus Business and Consumers,

Please find attached an IP Blocking Request, pursuant to section 313 of the *Telecommunications Act 1997 (Cth)*, in respect to a website being operated out of the US by an unlicensed and fraudulent financial services business operating in Australia in contravention of the *Corporations Act 2001 (Cth)* and *Criminal Code (Queensland)* .

Thank you for your kind assistance.

Best regards,

[REDACTED]

[REDACTED]
Senior Manager
Financial Services Enforcement - Brisbane
Australian Securities and Investments Commission
(W) [REDACTED], (M) [REDACTED], (F) 07 3867 4725

NOTICE

This e-mail and any attachments are intended for the addressee(s) only and may be confidential. They may contain legally privileged or copyright material. You should not read, copy, use or disclose them without authorisation. If you are not the intended recipient please contact the sender as soon as possible by return e-mail and then please delete both messages. This notice should not be removed.



RE: ASIC s313 IP Blocking Request 20130403 (C220127) (Treat as In Confidence) [DLM=Sensitive]

NOC [REDACTED]

03/04/2013 11:24 PM

Cc: NMCCMI

This email message has been processed by MIMESweeper

Hi [REDACTED]

This has been completed under reference IFMS 11372580

Regards,

[REDACTED]
Network Engineer, NMC IP Tier 1 - Service Assurance - Networks - Optus
T: 02 [REDACTED] | 1 Lyonpark Road, Macquarie Park NSW 2113

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Please think of the environment before printing this email

From: [REDACTED]@asic.gov.au]

Sent: Wednesday, 3 April 2013 10:13 PM

To: NOC

Cc: NMCCMI

Subject: Re: ASIC s313 IP Blocking Request 20130403 (C220127) (Treat as In Confidence) [DLM=Sensitive]

Classification: In Confidence/Sensitive

Hi [REDACTED],

Yes the Blocking Request is for Optus as well as Pipenetworks. Sorry for the confusion!

Best regards,

[REDACTED]

[REDACTED]
Senior Manager
Financial Services Enforcement
Brisbane
Australian Securities and Investments Commission
[REDACTED]

From: NOC [NOC@optus.net.au]
Sent: 03/04/2013 06:25 PM ZE11
To: [REDACTED] NOC <NOC@optus.net.au>
Cc: NMCCMI <nmccmi@optus.net.au>
Subject: RE: ASIC s313 IP Blocking Request 20130403 (C220127) (Treat as In Confidence) [DLM=Sensitive]

This email message has been processed by MIMESweeper

Hi [REDACTED],

Can you confirm this is also for Optus to action?

I notice email was only Attention to Pipenetworks.

Best Regards,

[REDACTED]
Senior Network Engineer | Optus Business IP | Network Management Centre | Singtel Optus
T: (02) [REDACTED] F: (02) 8086 5130 Email: [REDACTED]@optus.net.au
Optus Centre Macquarie Park, Building E, Level 3G 1 Lyonpark Road, Macquarie Park NSW 2113
DISCLAIMER
This e-mail may contain confidential information.

From: [REDACTED]@asic.gov.au]
Sent: Wednesday, 3 April 2013 5:06 PM
To: NMCCMI; NOC; legal@pipenetworks.com
Subject: ASIC s313 IP Blocking Request 20130403 (C220127) (Treat as In Confidence)
[DLM=Sensitive]

Pipenetworks: Attention: [REDACTED]

Good Afternoon [REDACTED] Optus Business and Consumers,

Please find attached an IP Blocking Request, pursuant to section 313 of the *Telecommunications Act 1997 (Cth)*, in respect to a website being operated out of the US by an unlicensed and fraudulent financial services business operating in Australia in contravention of the *Corporations Act 2001 (Cth)* and *Criminal Code (Queensland)*.

Thank you for your kind assistance.

Best regards,

[REDACTED]
[REDACTED]
Senior Manager
Financial Services Enforcement - Brisbane
Australian Securities and Investments Commission
(W) [REDACTED] (M) [REDACTED] (F) 07 3867 4725

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RE: ASIC s313 IP Blocking Request 20130403 (C220127) (Treat as In Confidence) [DLM=Sensitive]

NMCCMI o [REDACTED]

03/04/2013 11:53 PM

Cc: NMCCMI, NOC

History: This message has been replied to.

...

This email message has been processed by MIMESweeper

...

Hi [REDACTED]

This has also been completed on the consumer side under 11372560

Cheers,

[REDACTED] Network Engineer - Consumer and Mobile IP ; Network Management Centre
SingTel Optus, 1 Lyonpark Road, Macquarie Park/North Ryde 2113 NSW ; T +61 [REDACTED]
Corporate Website: <http://www.optus.com.au> OptusZoo Website: <http://www.optuszoo.com.au>

[Faint, illegible text block]

From: NOC

Sent: Thursday, 4 April 2013 12:24 AM

To: [REDACTED]

Cc: NMCCMI

Subject: RE: ASIC s313 IP Blocking Request 20130403 (C220127) (Treat as In Confidence)
[DLM=Sensitive]

Hi [REDACTED]

This has been completed under reference IFMS 11372580

Regards,

[REDACTED]
Network Engineer, NMC IP Tier 1 ; Service Assurance ; Networks ; Optus
T: 02 [REDACTED] | 1 Lyonpark Road, Macquarie Park NSW 2113

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Please think of the environment before printing this email.

From: [REDACTED]@asic.gov.au]

Sent: Wednesday, 3 April 2013 10:13 PM

To: NOC

Cc: NMCCMI

Subject: Re: ASIC s313 IP Blocking Request 20130403 (C220127) (Treat as In Confidence)
[DLM=Sensitive]

Classification: In Confidence/Sensitive

Hi [REDACTED],

Yes the Blocking Request is for Optus as well as Pipenetworks. Sorry for the confusion!

Best regards,

[REDACTED]

[REDACTED]

Senior Manager
Financial Services Enforcement
Brisbane
Australian Securities and Investments Commission
[REDACTED]

From: NOC [NOC@optus.net.au]
Sent: 03/04/2013 06:25 PM ZE11
To: [REDACTED]; NOC <NOC@optus.net.au>
Cc: NMCCMI <nmccmi@optus.net.au>
Subject: RE: ASIC s313 IP Blocking Request 20130403 (C220127) (Treat as In Confidence) [DLM=Sensitive]

...

This email message has been processed by MIMESweeper

...

Hi [REDACTED],

Can you confirm this is also for Optus to action?

I notice email was only Attention to Pipenetworks.

Best Regards,

[REDACTED]

Senior Network Engineer | Optus Business IP | Network Management Centre | Singtel Optus
T: (02) [REDACTED] F: (02) 3085 5130 | Email: [REDACTED]@optus.net.au
Optus Centre Macquarie Park, Building E, Level 00 - 1 Lyonpark Road, Macquarie Park NSW 2113

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From: [REDACTED]@asic.gov.au]
Sent: Wednesday, 3 April 2013 5:06 PM
To: NMCCMI; NOC; legal@pipenetworks.com
Subject: ASIC s313 IP Blocking Request 20130403 (C220127) (Treat as In Confidence)
[DLM=Sensitive]

Pipenetworks: Attention: [REDACTED]

Good Afternoon [REDACTED] Optus Business and Consumers,

Please find attached an IP Blocking Request, pursuant to section 313 of the *Telecommunications Act 1997 (Cth)*, in respect to a website being operated out of the US by an unlicensed and fraudulent financial services business operating in Australia in contravention of the *Corporations Act 2001 (Cth)* and *Criminal Code (Queensland)* .

Thank you for your kind assistance.

Best regards,

[REDACTED]

[REDACTED]

Senior Manager
Financial Services Enforcement - Brisbane
Australian Securities and Investments Commission
(W) [REDACTED], (M) [REDACTED], (F) 07 3867 4725

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RE: ASIC s313 IP Blocking Request 20130403 (C220127) (Treat as In Confidence) [DLM=Sensitive]

04/04/2013 11:08 AM

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Dear [REDACTED]

Our Network Engineer has confirmed that the address has been blocked.

As previously advised, we can only block the addresses on our own network and cannot completely block them on our submarine cable due to the way capacity on the cable is sold.

Kind regards

[REDACTED]

Lawyer

PIPE Networks Pty Limited - A TPG Telecom Limited Company (ASX: TPM)

Fibre Operations & Provisioning Group is Quality endorsed by the ISO 9001:2008 certification

Phone: +61 [REDACTED]

Mobile: +61 [REDACTED]

Fax: +61 7 3233 9883

Web: www.pipenetworks.com

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From: [REDACTED]@asic.gov.au]

Sent: Wednesday, 3 April 2013 4:06 PM

To: nmccmi@optus.net.au; noc@optus.net.au; Team - Legal

Subject: ASIC s313 IP Blocking Request 20130403 (C220127) (Treat as In Confidence)
[DLM=Sensitive]

Pipenetworks: Attention: [REDACTED]

Good Afternoon [REDACTED], Optus Business and Consumers,

Please find attached an IP Blocking Request, pursuant to section 313 of the *Telecommunications Act 1997 (Cth)*, in respect to a website being operated out of the US by an unlicensed and fraudulent

financial services business operating in Australia in contravention of the *Corporations Act 2001 (Cth)* and *Criminal Code (Queensland)*.

Thank you for your kind assistance.

Best regards,

[REDACTED]

[REDACTED]

Senior Manager
Financial Services Enforcement - Brisbane
Australian Securities and Investments Commission
(W) [REDACTED], (M) [REDACTED], (F) 07 3867 4725

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**REMOVAL OF IP BLOCK - 198.136.54.104 - REQUESTED OPN 3 APRIL
2013 [DLM=For-Official-Use-Only]**

to nmccmi, noc, legal, [REDACTED]
[REDACTED] [REDACTED]

12/04/2013 09:36 AM

Good Morning All,

I refer to our IP Blocking Request of 3 April 2013 in respect to IP 198.136.54.104 and request that the block be lifted.

Thank you for your kind assistance.

Best regards,

[REDACTED]

[REDACTED]

Senior Manager
Financial Services Enforcement - Brisbane
Australian Securities and Investments Commission
(W) [REDACTED], (M) [REDACTED], (F) 07 3867 4725



**Fw: REMOVAL OF IP BLOCK - 198.136.54.104 - REQUESTED OPN 3
APRIL 2013 [DLM=For-Official-Use-Only]**

██████████ t
o ██████████ nmccmi

12/04/2013 09:44 AM

Good Morning All,

I refer to our IP Blocking Request of 3 April 2013 in respect to IP 198.136.54.104 and request that the block be lifted.

Thank you for your kind assistance.

Best regards,

██████████

██████████

Senior Manager
Financial Services Enforcement - Brisbane
Australian Securities and Investments Commission
(W) ██████████ (M) ██████████ (F) 07 3867 4725

----- Forwarded by ██████████ on 12/04/2013 09:42 AM -----

From: ██████████
To: nmccmi@optus.net.au, noc@optus.net.au, legal@pipenetworks.com,
██████████@aapt.com.au, ██████████@team.telstra.com,
██████████@pacnet.com
Date: 12/04/2013 09:36 AM
Subject: REMOVAL OF IP BLOCK - 198.136.54.104 - REQUESTED OPN 3 APRIL 2013
[DLM=For-Official-Use-Only]

Good Morning All,

I refer to our IP Blocking Request of 3 April 2013 in respect to IP 198.136.54.104 and request that the block be lifted.

Thank you for your kind assistance.

Best regards,

██████████

██████████

Senior Manager
Financial Services Enforcement - Brisbane
Australian Securities and Investments Commission
(W) ██████████ (M) ██████████ (F) 07 3867 4725



RE: REMOVAL OF IP BLOCK - 198.136.54.104 - REQUESTED OPN 3
APRIL 2013 [DLM=For-Official-Use-Only]

12/04/2013 09:44 AM

This email message has been processed by MIMESweeper

Dear [REDACTED]

We confirm that we have lifted the block on this IP only.

Kind regards

[REDACTED]
Lawyer

PIPE Networks Pty Limited - A TPG Telecom Limited Company (ASX: TPM)
Fibre Operations & Provisioning Group is Quality endorsed by the ISO 9001:2008 certification

Phone: +61 [REDACTED]
Mobile: +61 [REDACTED]
Fax: +61 7 3233 9883
Web: www.pipenetworks.com

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From: [REDACTED]@asic.gov.au]

Sent: Friday, 12 April 2013 9:36 AM

To: nmccmi@optus.net.au; noc@optus.net.au; Team - Legal; [REDACTED]@aapt.com.au;
[REDACTED]@team.telstra.com; [REDACTED]@pacnet.com

Subject: REMOVAL OF IP BLOCK - 198.136.54.104 - REQUESTED OPN 3 APRIL 2013
[DLM=For-Official-Use-Only]

Importance: High

Good Morning All,

I refer to our IP Blocking Request of 3 April 2013 in respect to IP 198.136.54.104 and request that the block be lifted.

Thank you for your kind assistance.

Best regards,

[REDACTED]
[REDACTED]
Senior Manager
Financial Services Enforcement - Brisbane
Australian Securities and Investments Commission
(W) [REDACTED], (M) [REDACTED], (F) 07 3867 4725

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RE: REMOVAL OF IP BLOCK - 198.136.54.104 - REQUESTED OPN 3
APRIL 2013 [DLM=For-Official-Use-Only]

t
NOC o [REDACTED]

12/04/2013 09:57 AM

Cc: NOC

This email message has been processed by MIMESweeper

Hello [REDACTED],

The block has been lifted, as requested.

Best regards,

[REDACTED] Network Engineer - BNO IP Tier 1 | SingTel Optus Pty Limited | Networks | t: +61 [REDACTED]
[REDACTED] e: noc@optus.net.au | 1 Lyon Park Road, Macquarie Park, NSW, 2113|

www.optus.com.au

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From: [REDACTED]@asic.gov.au]

Sent: Friday, 12 April 2013 9:36 AM

To: NMCCMI; NOC; legal@pipenetworks.com; [REDACTED]@aapt.com.au;

[REDACTED]@team.telstra.com; [REDACTED]@pacnet.com

Subject: REMOVAL OF IP BLOCK - 198.136.54.104 - REQUESTED OPN 3 APRIL 2013
[DLM=For-Official-Use-Only]

Importance: High

Good Morning All,

I refer to our IP Blocking Request of 3 April 2013 in respect to IP 198.136.54.104 and request that the block be lifted.

Thank you for you kind assistance.

Best regards,

[REDACTED]

[REDACTED]
Senior Manager

Financial Services Enforcement - Brisbane
Australian Securities and Investments Commission

(W) [REDACTED] (M) [REDACTED] (F) 07 3867 4725

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**RE: REMOVAL OF IP BLOCK - 198.136.54.104 - REQUESTED OPN 3
APRIL 2013 [DLM=For-Official-Use-Only]**

[REDACTED] o [REDACTED]

12/04/2013 12:29 PM

This email message has been processed by MIMESweeper

Hi [REDACTED]

The IP address has been unblocked as of 11:37 AM 12/04/13.

Regards

[REDACTED] Security Liaison Officer
Law Enforcement Liaison | Corporate Security & Investigations | Finance
P [REDACTED] | E [REDACTED]@team.telstra.com | W www.telstra.com

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From: [REDACTED]@asic.gov.au]
Sent: Friday, 12 April 2013 9:36 AM
To: nmccmi@optus.net.au; noc@optus.net.au; legal@pipenetworks.com;
[REDACTED]@aapt.com.au; [REDACTED]; [REDACTED]@pacnet.com
Subject: REMOVAL OF IP BLOCK - 198.136.54.104 - REQUESTED OPN 3 APRIL 2013
[DLM=For-Official-Use-Only]
Importance: High

Good Morning All,

I refer to our IP Blocking Request of 3 April 2013 in respect to IP 198.136.54.104 and request that the block be lifted.

Thank you for your kind assistance.

Best regards,

[REDACTED]

[REDACTED]
Senior Manager
Financial Services Enforcement - Brisbane
Australian Securities and Investments Commission
(W) [REDACTED] (M) [REDACTED] (F) 07 3867 4725

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