



# FOI Request: James Smith 1-7UQCLQ1

## Response from Fraud Prevention and Internal Investigations

### Summary of allegations of fraud, serious misconduct and other criminal activity referred to Fraud Prevention and Internal Investigations in 2014-15 financial year:

	2014-15
Abuse of position	6
Conflict of interest	13
Corruption	2
Fraud – Admin	18
Fraud – Revenue	4
Misuse ATO facilities	1
Misuse IT facilities	8
Release of Info	5
Unauthorised access	106
Other*	132
<b>Total</b>	<b>295</b>

*\*Other - relates to cases that are difficult to define or fall outside the jurisdiction of Fraud Prevention and Internal Investigations, e.g. criminal activity outside the workplace, threatening behaviour or matters being dealt with by law enforcement.*

### Results of substantiated allegations for 2014-15 financial year:

	Referral to Commonwealth Director of Public Prosecution	Misconduct action	Referred to management	No further action
2014-15	0	17	7	3

Matters investigated in accordance with ATO Procedures for determining whether an employee has breached the Australian Public Service (APS) Code of Conduct and imposition of sanction in 2014/2015 year

APS level	Unauthorised access	Misuse of Commonwealth resources	Resulting consequences
APS 4	Yes	Yes	Termination
EL1		Yes	Reduction in salary and reprimand
APS5	Yes	Yes	Termination
APS3	Yes	Yes	Reduction in salary and reprimand
APS6	Yes	Yes	Deduction from salary, by way of fine and reprimand
APS5		Yes	Resigned prior to sanction
EL2		Yes	Resigned prior to sanction