



ASIC

Australian Securities & Investments Commission

1 July 2016

Mr Phillip Sweeney
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Dear Mr Sweeney

Request Under the Freedom of Information Act 1982 For Access to Documents

I refer to your request (**request**) under the *Freedom of Information Act 1982 (FOI Act)* received by this office on 26 June 2016 in which you sought access to:

ASIC is a project partner in the "Whistling while they work" project at Griffith University.

ASIC recently sent out a letter to 30,000 companies seeking to obtain information on whistleblowing.

The document I seek is a copy of the body of this letter. The name and address of any particular company can be redacted.

I only require one copy in total.

I am the authorised decision-maker for the purposes of section 23 of the FOI Act.

I have identified a document which falls within the terms of your request.

Decision

I have made inquiries with the relevant team within the Australian Securities and Investments Commission (**ASIC**) in order to obtain their views concerning the release of the documents.

From my inquiries and from information provided by the team I have determined that there is one document that falls within the terms of the request.

I note that the document is a copy of the template which formed the core or body of the letters that were mail merged with addressee details and sent to numerous organisations as part of recent mail out.

As the document falls within the terms of your request and by the very nature of the mail out the content of the letter has been disseminated extensively amongst the public I have decided to release the document.

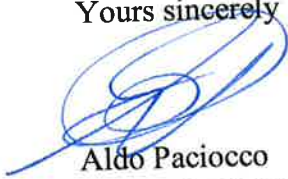
Also, as there is only one document relevant to the terms of the request I have decided not to impose a charge for processing your request.

In the event that you are dissatisfied with the decision:

1. You may, within 30 days after the day on which you have been notified of this decision, apply in writing for an internal review of my decision under section 54 of the FOI Act. Such review is an independent process conducted by a senior ASIC officer. This address should be addressed to me.
2. You may apply in writing to the Australian Information Commissioner for review of my decision. Correspondence should be addressed to the Office of the Australian Information Commissioner - GPO Box 2999 Canberra ACT 2601 OR GPO Box 5218 Sydney NSW 2001.
3. You may lodge a complaint to the Commonwealth Ombudsman in respect to the conduct of ASIC in the handling of this request. To do so, you can contact the Ombudsman's office either: by e-mail to ombudsman@ombudsman.gov.au, by letter to GPO Box 442 Canberra ACT 2601, or by fax to (02) 6276 0123.

Should you have any questions concerning the charge for processing your application please contact me on 03 9280 4213.

Yours sincerely



Aldo Paciocco
(Authorised Decision-maker under section 23(1) of the FOI Act)
for the Australian Securities and Investments Commission