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James Smith foi+request-2108-4433dc6e@righttoknow.org.au

FOI ref: 201617/10

Dear Mr Smith

### **RE: YOUR FREEDOM OF INFORMATION REQUEST**

I refer to your application of 22 October 2016 to the ABS for an Internal Review under the *Freedom of Information Act* 1982 (FOI Act) regarding the decisions made by Ms Williams, an authorised decision maker under section 23 of the FOI Act, on 10 October in regards to your request for information under the FOI Act (FOI ref 201617/10).

#### Internal Review of the Decision

I am an authorised decision maker under section 23 of the FOI Act and, in compliance with section 54C of the FOI Act, am an appropriate person to review the decision made by Ms Williams.

## **Findings of the Internal Review**

I have reviewed the process used to search for documents pertaining to your request, the subsequent handling of your request and the decision reached regarding the specific contents released to you.

I have decided to uphold the original decision made by Ms Williams with regards to redactions on the basis of relevancy, noting the following exceptions:

- Two new documents identified in the original search and retrieval process were removed in their entirety as one was determined to contain content duplicated in another document and one was deemed to be out of scope of your original request. I have decided to grant you access to these documents in part. One document does not constitute new information beyond what has previously been provided to you. The content of the other document does not demonstrate evidence obtained at the time, but does contribute to the evidence which would have informed Mr David Kalisch's comments in 2016.
- I have decided to grant access to additional parts of one document previously released to you. It should be noted again that while the content does not demonstrate evidence obtained at the time, it contributes to the evidence which would have informed Mr David Kalisch's comments in 2016.

#### Information Commissioner Review of the Decision

Section 54L of the FOI Act gives you the right to apply for a Review by the Information Commissioner. Details of the procedures involved in an application to review a decision are set out at **Attachment A**.

If you have any queries on this matter please contact the ABS FOI Contact Officer, at <a href="mailto:freedomofinformation@abs.gov.au">freedomofinformation@abs.gov.au</a> or on (02) 6252 7203.

Yours sincerely

Denise Carlton
Program Manager

Finance, Facilities and Project Administration Division

Australian Bureau of Statistics

November 2016

### **INFORMATION ON RIGHTS OF REVIEW**

#### 1. APPLICATION FOR INTERNAL REVIEW OF DECISION

If you disagree with our decision you have the right to apply for an internal review under section 54 of the FOI Act.

Application for a review of the decision must be made within 30 days of receipt of this letter.

No particular form is required but it would assist the decision-maker were you to set out in the application the grounds on which you consider that the decision should be reviewed.

Application for a review of the decision should be addressed to:

ABS FOI Contact Officer
Policy, Legislation and Assurance Section
Australian Bureau of Statistics
Locked Bag 10
BELCONNEN ACT 2617

OR

# 2. APPLICATION TO AUSTRALIAN INFORMATION COMMISSIONER (INFORMATION COMMISSIONER) FOR REVIEW OF DECISION

Section 54L of the Act gives you the right to seek a review of the decision from the Information Commissioner. An application for review must be made within 60 days of receiving the decision.

Applications for review must be in writing and must:

- give details of how notices must be sent to you; and
- include a copy of the notice of decision.

You should send your application for review to:

The Information Commissioner
Office of the Information Commissioner
GPO Box 5218
SYDNEY NSW 2001

## AND/OR

#### 3. COMPLAINTS TO THE INFORMATION COMMISSIONER

Section 70 of the Act provides that a person may complain to the Information Commissioner about action taken by an agency in the exercise of powers or the performance of functions under the Act.

A complaint to the Information Commissioner must be in writing and identify the agency the complaint is about. It should be directed to the following address:

The Information Commissioner
Office of the Information Commissioner
GPO Box 5218
SYDNEY NSW 2001

The Information Commissioner may decline to investigate the complaint in a number of circumstances, including that you did not exercise your right to ask the agency, the Information Commissioner, a court or tribunal to review the decision.

# 1976 CENSUS OF POPULATION AND HOUSING

# INFORMATION PAPER

# THE NEED FOR NAME AND ADDRESS

Name

- 1. For the purpose of tabulating and compiling of Census information particulars as to name are of no importance since the Census results are absolutely impersonal and refer to statistical totals (aggregates) only.
- 2. The legal requirement of each individual's name on the Census schedule is contained in the *Census and Statistics Act 1905-1973*. This Act stipulates the inclusion of name in a national Census of Population and Housing. There are however other reasons for name being required.
- 3. These additional reasons can be grouped broadly into two categories:

**collection** reasons — as an aid to the Census collector

- as an aid when a change in occupancy occurs
- as an aid to the respondent
- as a collection control device
- coverage and response

processing reasons — imputing and deducing data — family analyses



(iii) The inclusion of name is often an aid to the respondent in completing the schedule. This is particularly true for households which include several persons. With 12 questions to answer for the dwelling and 41 for each member of the household it is of considerable assistance to have each person's name on the schedule to reduce respondent confusion. In the case of large establishments or institutions (e.g. hotels, hospitals, etc.) it would be almost impossible to know who had supplied particulars and who had not, without the inclusion of names.

### 1976 CENSUS OF POPULATION AND HOUSING

#### INFORMATION PAPER

#### THE NEED FOR NAME AND ADDRESS

### Why are names and addresses collected?

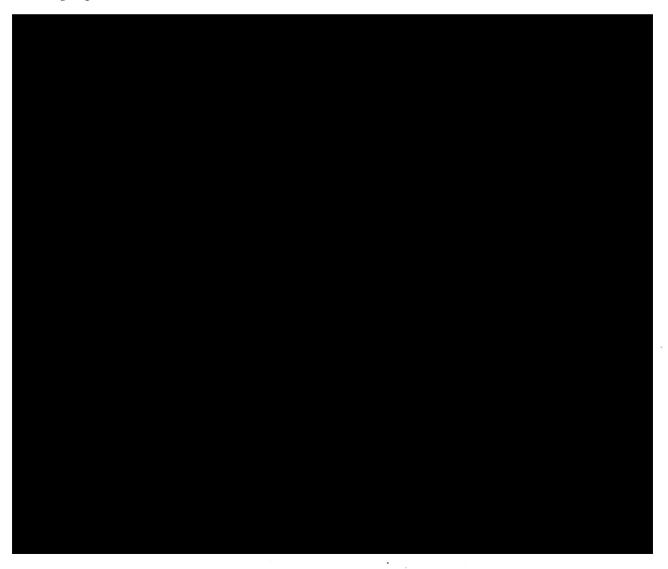
- 1. Legal requirement under the Census and Statistics Act 1905-1973. If name is to be omitted, the Act would require amendment.
- 2. An aid to the collector in the delivery and collection of the schedule, e.g. to ensure that all dwellings and their occupants in a street have been counted.
- 3. Changes of occupancy at a dwelling between the delivery and collection stages can be detected and accounted for by the collector, e.g. a householder who lets his house at short notice.
- 4. As an aid to the respondent in completing the schedule, e.g. names assist the householder in remembering all persons who are to be included on the Census schedule.
- 5. To assist with collection control, e.g. identification of duplications (i.e. when more than one schedule has been completed by a person).
- 6. Used as an aid in identifying family groups within the same household, e.g. a married couple visiting another unrelated married couple.
- 7. Small Bureau surveys run in conjunction with the Census and used to evaluate its efficiency, use names and addresses to match persons and compare the data.
- Note: (i) An anonymous Census system was tested in Sydney in July 1974. The results of this test indicated that the data collected under an anonymous census was too unreliable when compared with existing procedures.
- (ii) No other country has ever conducted an anonymous census.
- (iii) After the statistical data (without names and addresses) has been successfully transferred to computer tapes all Census schedules are destroyed and links with names and addresses are lost.

# (iv) Aid to the householder

Side.

In the case of large establishments or institutions (e.g. hotels, hospitals, etc.) it would be almost impossible to know who had supplied particulars and who had not. Inclusion of a name serves as an aid to the householder when he is filling in particulars about each member of his household. Absence of a name could be very confusing in the case of a large family.

Thus, as well as a collection control device and as an aid to the householder, inclusion of a name is needed for processing purposes.



### 3 EVALUATION

#### A THEORETICAL EVIDENCE

Some literature has been written on the desirability and practicability of using anonymous schedules in a social research situation. Although an anonymous census has never been attempted anywhere (to the writer's knowledge), some of the findings made in regard to research surveys and their use of anonymous schedules can be translated to a census situation.

Social researchers have in the main advocated the use of anonymous questionnaires, (where identifiers were not necessary for follow-up purposes) for two reasons. Firstly, they believe that response will be higher and candour greater where persons' names are not associated with the responses given. Only under an absolutely anonymous system can respondents remain convinced that no repercussions will result from their responses. Secondly, researchers believe there is a tendency for respondents to answer questions in a manner they perceive as being favourable to the researcher, especially if their name is required on the questionnaire. Thus data collected is biased towards the perceived socially acceptable, unless confidentiality can be guaranteed.

With these two aspects in mind, researchers have attempted to statistically verify the presence or absence of these anonymity effects. However, results have varied considerably, and as expressed by Pearlin:

"the results of these inquiries are inconclusive, but they suggest that anonymity does NOT appreciably influence the nature of responses. They have shown that respondents tend to answer questionnaire items the same, identified or not." (P640).

Pearlin worked from the premise that anonymity would invite candour and participation by those holding critical opinions, and his research studied trainee nurses opinions' about the adequacy of their pay, promotional opportunities and the way in which things were run in the wards, as well as items touching on authority and influence in the hospital. His hypothesis was that those who felt positively about these conditions were more likely to sign the questionnaire than those holding negative opinions. This, however, was not the case. In conclusion Pearlin found that, "those who were positive in their answers were no more likely to sign than those who were negative", and proceeded to establish that the willingness to be identified with one's opinions was more likely to be influenced by one's personality (a self-worth syndrome), than by the nature of the opinions expressed.

Singer, on the other hand, maintained that confidentiality only became desirable when questions were intrusive. Using a range of twelve sensitive questions (ranging from income to smoking marijuana to masturbation), varying degrees of confidentiality were promised to the various subsamples.

Results, however, were not conclusive. Even amongst the sub-sample where there was no promise of confidentiality, only two questions elicited a total non-response rate of 10% or more: the question about income (11% non-response), and the question on masturbation (10% non-response).

Degree of confidentiality did, however, have some effect, both on response rate and on the propensity of respondents to admit to deviant behaviour:

"the assurance of confidentiality .... does appear to affect the rate of non-response to individual questions. With one exception, respondents who were told that their answers would remain completely confidential had the lowest non-response rate...." (P151).

#### and:

"the condition in which the respondent is promised absolute confidentiality produced the highest estimates on three of the four most sensitive questions asked of the entire sample. Thus there is at least the suggestion that a promise of absolute confidentiality enhances the quality of response to sensitive questions, over and above its effect on item non-response." (P156)

This latter conclusion, however, has not been verified by all research into the effects of confidentiality; as the literature summary by Fuller states:

"While it has been generally assumed that respondents are less likely to report socially desirable answers when responding anonymously, previous research ... has revealed SMALL OR NO differences in the response given by subjects under anonymous and under identified conditions." (P292)

Indeed, in terms of the effects of confidentiality on item response, Fuller's study found the exact opposite to the predicted outcome. Administering questionnaires to both naval officers and enlisted men (half of which were required to give their name and half of which were not), he found that respondents who were required to identify their answers were MORE likely to respond and this tendency was more promounced among the officer group than the enlisted men.

Such an unexpected result could have two interpretations - firstly, certain persons may feel that their opinions are important to a study only when they are identified as their individual opinions. Hence an unintended consequence of anonymity may be a reduction of this perceived value of specific individual's response. Secondly, it could be that officers feel some pressure to respond, and the anonymity instructions reduced this perceived pressure.

Fuller did, however, find a small but consistent social desirability bias between the anonymous and identified groups - the responses given by the identified group tended to be more positive than the responses from their anonymous counterparts.

Wildman, in his study of mid-western non-union teachers' attitudes towards unions and strikes, found that such a socially-desirable bias from identified as opposed to anonymous respondents, was very insignificant, if indeed it existed at all. He did find though, that some of the questionnaires were returned with the identification particulars destroyed. Obviously a few (not sufficient to significantly influence results) respondents find identification threatening and this lends support to Pearlin's idea that willingness to give one's name depends on the personality of the respondent, and not the information sought.

Another study designed to test the existence of a bias in terms of more socially acceptable responses from identified respondents was carried out by Ash & Abramson. By questioning "middle-class white respondents" on their attitudes to blacks, ethnocentrism and political economic conservatism, they sought to reveal that answers would be biased in the direction of admitting to more socially approved attitudes than would have been the case had the questionnaires been completed anonymously.

The results of their study showed that on the Negro prejudice scale, the anonymous group had a slightly higher (more prejudiced) mean score than the identified group. On the other two scales, however, this relationship was reversed, causing Ash & Abramson to conclude:

"... that verbally expressed attitudes ... as recorded on scales relating to ethnocentrism, political-economic conservatism, and anti-Negro prejudice, are not biased in either a more "pro" or more "anti" direction as a result of the requirement that they sign the scales, thus identifying themselves." (P723)

The results obtained in this study however, could be interpreted in a different manner. It could well be possible that the respondents chosen for this survey did not perceive ethnocentrism or political - economic conservatism as being socially unacceptable, in which case the requirement of identification would result in bias to the Negro prejudice scale only (as was evidenced in these results).

The literature, therefore, yields no clear theoretical stance in favour of or against the use of anonymous data collection. It would appear that where data is of a particularly intrusive nature, guaranteeing confidentiality could improve the quality of the answers. Absolute confidentiality, however, can only be achieved in a situation where returns can be made anonymously. This point is illustrated by Singer:

"It has become increasingly clear that although research organisations
... promise to protect the confidentiality of respondent replies, such
guarantees ordinarily have no legal standing; the relation between
researcher and respondent is not recognised as privileged. If
records are subpoensed, there is ultimately nothing, short of going
to jail, that the researcher can do to redeem the promise of
confidentiality made to respondents. If there is no need to identify
respondents for administrative purposes or follow-up studies, the problem
of confidentiality can sometimes be handled by destroying overtly
identifying information ..." (P146)

Erdos & Morgan Inc, a survey research incorporation in America has found that a promise of absolute confidentiality is more likely to have meaning to respondents where an identification code is used on the questionnaire in preference to respondent's names.

Erdos & Reiger conducted a study using both keyed and unkeyed questionnaires, half the keyed questionnaires being accompanied by a letter explaining the presence of the code and the reason it was needed; and assuring complete confidentiality of the data contained therein. The results from their study are perhaps most appropriate for the present inquiry:

"The results of this test indicated that, first, it is possible to use visible keys and get a high response rate with a long (eight page), fairly difficult questionnaire, which includes personal and financial questions .....

Second, there was no difference in the response rate to visibly keyed versus unkeyed questionnaires .....

Third, the procedures considered helpful in improving response rates are also helpful when using visible keys. For example ....

THE PRESTIGE OF THE SPONSOR HELPS THE RESPONSE RATE." (P16)

This final point highlights the need for the ABS to establish a rapport within the community it serves. Abundant positive publicity (stressing the measures taken to ensure confidentiality of data) prior to census taking is likely to have a much more positive effect on response rates and item response, than an anonymous collection system, if the conditions portrayed in this brief review of the literature are applicable to a census situation.

#### B TEST EVIDENCE:

The ABS has run a series of pre-tests in which it has attempted to assess the feasibility of an anonymous census. The benefits of an anonymous census intuitively appear to be improved public attitudes to the census because of a greater belief in the confidentiality of the information supplied. This increased credibility in the eyes of the public should be reflected in higher response rates, higher item response (especially for intrusive questions), and a better quality response - ie more accurate answers.

As we have seen in the literature section, studies which have sought to identify these types of effects resulting from the use of anonymous collections have had inconsistent results, the majority finding that response patterns do not vary with anonymous as opposed to identified schedules. The only studies which did find slight response improvements by using no name, were those whose questions were exceedingly intrusive — to the extent that answers often provided incriminating evidence against the respondent. It is thus pertinent to analyse the results obtained in the Australian context from the three field tests in which anonymity has been tested, to verify whether or not response would be significantly better were we to use an anonymous system in a census situation. This analysis will be in terms of:

- i overall response rates;
- ii item response rates; and
- iii accuracy of response

The three pre-tests which have tested a no-name schedule are the Sydney pre-test, 1974; the Wangaratta pre-test, 1977; and the Bathurst/Orange pre-test, 1978. The results of all these are detailed in Appendix A, B and C.

# B1 1974 SYDNEY PRE-TEST:

The results of the 1974 Sydney test are displayed in Appendix A - the three anonymity factors we are concerned with here are:

- i  $A_0$  standard collection full name required with the collector checking response.
- ii  $A_4$  full name required, but respondents provided with envelopes.
- iii A2 first name required, and respondents provided with envelopes.

- Overall response the major feature of response between collection systems was that the two envelope systems  $(A_1 \& A_2)$  resulted in the return of too high a proportion of completely blank schedules. Whereas the number of outright refusals was slightly lower in these systems than in the standard collection method, the proportion of blank schedules was 3% and 3.8% compared with 1%. Where the envelope was kept constant,  $(A_1 \& A_2)$ , it becomes evident that an anonymous schedule performs the worst in terms of non-response (but not significantly).
- 2 Item response analysis of item response rates (depicted in Appendix A), lead to the following conclusions;
- i the standard collection system ( $A_0$ ) generally yields a higher response rate for questions than the other two collection systems. Of the analysis carried out,  $A_0$  came up best in 17 of the cases (testing at the 95% level).
- ii The question on income is regarded as one of the more intrusive questions, and thus it might be anticipated that response to this question would be better where name is not required. However, this was not the case, as the highest response for this question came from the standard collection procedure  $(A_0)$ . The difference in response for the income question between  $A_0$ ,  $A_1$  and  $A_2$  is not sufficient to be significant, however, it indicates that the standard collection system certainly produces no worse response to this question than an anonymous system.
- 3 Accuracy of response as measured by the post-enumeration survey, the quality or accuracy of response appeared not to be affected by the collection system tested.

This point was supported by evidence from the analysis of gross difference rates (a comparison of census answers with answers obtained in the post-enumeration survey):

"The anonymity of the collection system seems to have done little to improve (or indeed affect) the accuracy of census answers ...

The fact that the Gross Difference Rate for income was not affected by collection systems is quite significant. Obviously people do not feel more inclined to give more truthful answers to contentions questions under the protection of an anonymous system".

## B2 1977 WANGARATTA PRE-TEST

The 1977 Wangaratta field test did not consciously test an anonymous census system, as there was no control group against which the optional name response could be compared. Never-the-less, some interesting facts emerged. Table 1 in Appendix B shows the number of respondents who chose to give their name, and the number who chose not to, when given the option. The relatively high proportion that chose to give no name (44.3%) indicates that this option is

adopted by more than just that segment of the population who strongly resent giving their name on a census schedule. Obviously many respondents who would have no qualms about giving their name under normal circumstances, did not because it was not required. If such a policy (ie that of an optional name census schedule) was adopted for an actual census, the Bureau must be prepared to accept that as many as 50% of schedules will be returned anonymously, and probably even more if civil liberty groups etc use the media to encourage respondents not to give their name.

- Overall response this was extremely high in Wangaratta, with the occurrence of only two refusals. This may have been a function of the fact that name was optional, and hence respondents were more likely to feel secure of the confidentiality of their answers; or it may be a function of the cooperative and amiable nature of the Wangaratta population. Given the friendly reception given to most of the collectors during this test, the latter is likely to be the case!
- 2 Item response a comparison of response between those who did and those who did not give their name, for selected questions, is given in table 2 of Appendix B. Although there was no control group, and thus no conclusions can be made with respect to how response may have differed had name been made compulsory, table 2 indicates that response levels between the two groups were virtually identical. This was so even for the intrusive question (income) which in fact scored better when respondents identified themselves, but not significantly so.
- 3 Accuracy of response from an analysis of the PES, the Wangaratta test scored badly as far as accuracy of data was concerned. Of the 761 persons in the PES, 259 (34%) "mucked up" at least one question; although the majority of these persons (211) mucked up only one question. Because the Wangaratta test was testing the use of an OMR self coding schedule, a factor likely to be associated with many of the "mucked up" answers, no inference can be made about the use of optional name schedules and data accuracy from this test.

## B3 1978 BATHURST-ORANGE PRE-TEST

The Bathurst/Orange field test used three sample groups relevant to the confidentiality issue:

- C1 respondents required to provide first names only.
- C<sub>2</sub> respondents required to provide their full name; and
- C3 name was made optional.

The C<sub>1</sub> option was seen as a type of compromise - first names do not provide sufficient information for there to be a breach of confidentiality; and yet is sufficient to use in imputing certain information, and act as a guide to the respondent.

Non-response rates for each of these three samples are displayed in Appendix C (for those questions where denominators are reliable).

- 1 Overall response. The covert refusal where respondents simply sealed the blank schedule in the envelope and returned it to the collector as occurred in the July 1974 test was also prevalent here, with a total of 411 schedules being returned blank in this manner (ie approximately 2.5% of schedules). This practice may also have been encouraged in the C<sub>3</sub> option by the fact that name was not required; and hence the threat of being followed up and penalised was somewhat diminished. As is evidenced in Appendix C Table 2, the C<sub>3</sub> option had a significantly higher number of blank covert refusals than both the C<sub>1</sub> and C<sub>2</sub> options (3.3% as opposed to 2.0% and 2.3% respectively).
- 2 Item response the table displayed in Appendix C shows that response is significantly better under the standard, full-name approach. Using a t-test and testing for significance at the 95% level, the results were:-
- i For 11 person questions and 10 dwelling questions the  $C_1$  system performed significantly better than the  $C_2$  system.
- ii For 17 person questions and 5 dwelling questions the  $\mathrm{C}_2$  system performed significantly better than the  $\mathrm{C}_3$  system.
- iii For 12 person questions and 3 dwelling questions the  $\mathrm{C}_2$  system performed significantly better than the  $\mathrm{C}_1$  system.
- iv For 4 person questions and 0 dwelling questions the  $^{\rm C}_{\rm 3}$  system performed significantly better than the  $^{\rm C}_{\rm 1}$  system.
- v For only 4 person questions the  $\rm C_2$  system did not perform significantly better than either the  $\rm C_1$  or  $\rm C_3$  systems.

These results clearly demonstrate that despite the intuitive appeal of an anonymous census collection, the results are negative. It would appear that the real effect of an anonymous system is purely to reduce the threat of the penalty for non-compliance.

Accuracy of response - the PES in the Bathurst/Orange pre-test consisted of a DCC and a PCC only, so no conclusions can be made with regard to the accuracy of the answers given under the different C systems.

#### B4 CONCLUSION

The evidence from field tests carried out by the ABS, like that found in the literature, does not lend support to the theory that people are more willing to respond in an anonymous collection, or that their answers will be any more accurate. The opposite in fact appears to be the outcome. If names are not required on the census schedule, the threat of penalty for non-compliance is diminished, and hence response rates fall significantly.

From the studies done, accuracy of answers does not appear to be affected by the anonymity or otherwise of the system. This was found to be true even for the income question, which is regarded as being the most intrusive question on the schedule.

Such evidence would imply that the issue of confidentiality is no more than a myth created by the media because of its "newsworthy" value prior to a National Census. It is obvious that respondents are no more willing to respond to Census questions if their name is not required on the schedule — in fact, they are less willing.

## B5 RECOMMENDATION:

Because of the problems which arise in the Field, Processing and Evaluation operations when name is not required; and because of the significant drop in response which can be attributed to anonymity, it is recommended that the requirement to provide full name be retained in future censuses.

# APPENDIX A: 1974 SYDNEY PRE-TEST - ITEM RESPONSE RATES

A: 1974 SIDNET PRE-IEST - IIIII II		Λ	۸ _
Dwellings: Type of dwelling unit Material of outer walls Source of water supply Rooms Fuel - cooking - home heating - water heating - lighting Motor Vehicles Mortgages - (a) owned (b) mortgage (c) mortgage holder - payments, 1st - payments, 2nd etc Rent - yes/no	Ao (Full Name) .96 1.00 .99 1.00 .97 .92 .94 .97 .98 .95 .95 1.00 .98	.96 .98 .98 .97 .87 .90 .94 .94 .92 .96 1.00 .99	.93 .94 1.00 .98 1.00
Rural holdings	•99	•99	•99
Relationship to head Sex Age Present marital status Usual residence Usual residence 3/7/69 Holidays Country of Birth Nationality Resident/visitor status Birthplace of father Birthplace of mother Language - at home Language - not at home Racial origin Religion Pre-school Child-minding Handicaps Life assurance Medical benefits Hospital benefits Educational institution Level of schooling Qualifications Retirement benefits scheme Social security benefits Licence - motor cycle/scooter Issue - ever married females - present marriage Duration of present marriage Income Do any work last week Have a job Looking for work Usual hours worked Occupational status Occupation Industry - trading name - address - kind of - Mode of travel to work	•97 •99 •995 •998 •998 •998 •998 •998 •998	.94 .98 .994 .997 .997 .997 .998 .997 .998 .997 .998 .997 .998 .997 .998 .997 .998 .997 .998 .998	.88 .89 .95 .90 .89 .66 .89 .85 .78 .91 .90 .90 .91 .88 .93 .99 .90 .91 .88 .91 .90 .91 .90 .91 .91 .91 .91 .91 .95 .95 .95 .95 .95 .95 .95 .95 .95 .95
TOTAL RESPONSE:	•94		.91

# APPENDIX B: 1977 WANGARATTA PRE-TEST

TABLE 1: NO OF PEOPLE USING NAME/NO MANE WHEN GIVEN THE OPTION

TYPE OF NAME	SCHEDULE TYPE				
	A	В	C	TOTAL	
NO NAME	2752 35.8%	2499 32•5%	2440 31.7%	7691 44•3%	
FULL NAME	2778 31.4%	3039 34•4%	3025 34.2%	8842 51.0%	
INITIALS	14	5	6	25 -1%	
SURNAME	89	112	83 	284 1.6%	
GIVEN NAME	90 <b>-</b>	78 -	73 -	241 1.4%	

TABLE 2: RESPONSE RATES TO SELECTED QUESTIONS BY NAME FACTOR

QUESTION	NO NAME	FULL NAME	TOTAL
SEX	.96	.96	.96
MARITAL STATUS	.96	.96	.96
RELATIONSHIP	•97	•97	•97
ACTIVITY	.96	.96	.96
USUAL RESIDENCE	•95	•92	•93
BIRTHPLACE	•99	•99	•99
INCOME	.65	.66	.66

APPENDIX C: 1978 BATHURST/ORANGE PRETEST

ITEM NON-RESPONSE RATES BY ANONYMITY FACTOR

DWELLING QUESTIONS								
	C1			C2			C3	
Type of Dwelling	4.5	\$	+	6.2		+	7.8	
No. of Dwelling Units	8.8	\$	+	12.5		+	14.3	
Material of Outer Walls	0.5		+	0.7			1.0	
No Rooms	1.3		+	1.6			1.9	
No. Bedrooms	1.2		+	1.4			1.7	
Bathroom	0.4			0.3			0.3	
Kitchen	0.7			0.7			0.7	
Water Supply	0.1	\$	+	0.4			0.3	
Sewerage	0.2	\$	+	0.5			0.5	
Cooking	1.6			1.5			1.9	
Home Heating	5.8		+	5.1		+	7.1	
Water Heating	7.7		+	6.2	*	+	8.2	
Lighting	4.9		+	4.7	*	+	6.1	
Date Built	4.7			4.6			4.7	
Motor Vehicles	2.4			2.2			2.5	
Rural Holdings	3.2			3.2			3.9	
Owned/Purchased	4.7			3.8	*		4.5	
PERSON QUESTIONS:		*						
Relationship	2.0			2.0			2.0	
Major Activity	1.1			0.9			0.9	
Usual Resident	5.5			5.4			5.0	*
Moved into area since 1976	10.2			8.1	*	+	9.7	
Period of Residence	1.1			0.9		+	1.2	
Religion	3.4			2.5	*	+	2.9	*
Childminding	2.4		*	1.6	*		1.7	
Birthplace	2.4			1.5	*		1.7	*
Educational Institution	11.8			11.6			11.5	
Age Left School	7.7		+	6.8	*	+	8.7	
Qualifications	17.3		+	15.9	*	+	21.5	
Income	12.3			11.2	*	+	12.8	
Work Last Week?	12.2			10.8	*	+	12.2	
Job Status	5.5			5.0			4.9	*
Occupation	4.0			3.4	*	+	3.9	
Industry	4.3			3.6	*	+	4.1	
Birthplace-father	1.8		+	1.7		+	3.7	
Birthplace-mother	2.0		+	1.8		+	3.7	

•	1,	C1		C2			С3
Languages		2.4	+	2.4		+	3.8
Travel to Work		1.2	\$	1.5	*		1.4
Handicap		2.1		2.2			2.2
Benefits		3.2	\$ +	4.1	*	+	6.5
Total Issue		8.0	+	7.1		+	12.1
Duration of Marriage		2.3	+	1.9		+	7.1
Married Issue		9.1	+	8.5		+	14.0
Car Licence		1.4	+	1.7		+	4.3
Bike Licence		2.9	+	3.3			3.6

NB The above questions are the only ones displayed because they are the ones for which reliable denominators are known for calculating response rates.

- \* Significantly better than C<sub>1</sub>
- \$ Significantly better than C2
- + Significantly better than  $^{\rm C}_{
  m 3}$
- C, First name
- C<sub>2</sub> Full name
- Cz Optional name

TABLE 2:	NUMBER OF	COVERT	REFUSALS	x	, C ,	FACTOR
DIVISION	C1		C2		C3	
01	57		55		82	
02	49		74		94	
TOTAL:	106	•	129		176	
	2%		2.3%		3.3	5%

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