



ASIC

Australian Securities & Investments Commission

Our Ref: 428/17

Level 5, 100 Market Street, Sydney
GPO Box 9827 Sydney NSW 2001
DX 653 Sydney

Telephone: (02) 9911 2000
Facsimile: (02) 9911 2414
ASIC website: www.asic.gov.au

6 January 2017

By email: foi+request-2885-d134d804@righttoknow.org.au

Dear Mr Sweeney

Acknowledgment of Freedom of Information Request

I refer to your email of 28 December 2016 received by this office on 28 December 2016 in which you sought access under the Freedom of Information Act 1982 (FOI Act) to documents relating to ASIC's response to questions by the Senate Economics Reference Committee on the Provident Fund during the Senate inquiry into the performance of ASIC.

Specifically, you have requested "a copy of the covering letter (or email) that made reference to the Committee's questions and which included ASIC's response to the Committee (or to which the response was attached)."

Your request constitutes a valid application from 28 December 2016. Subject to certain exceptions (for example where it becomes necessary to consult with affected third parties in respect to the disclosure of documents caught by the terms of the request) which may extend the processing time period, the FOI Act provides that an application must be processed within 30 days after receipt of a valid application.

You should note that, while the first five hours of decision making is free of charge, charges may be assessed for time spent in searching for and retrieving relevant documents, decision-making time in excess of five hours, photocopying and postage.

If a decision is made to apply processing charges, you will be provided with a preliminary assessment of the charges before the final decision is made about access to the documents you have requested. You will be told the final assessment of the amount to be charged at the time of the decision about access.

Agency Publication Obligations

You are advised that Section 11C of the FOI Act requires ASIC to publish on our public website, copies of any documents which may ultimately be released to you, with the exception of personal information about any person, or information pertaining to the business, commercial or financial of any organisation or information pertaining to the business or professional affairs of any person, where it would be unreasonable to publish that information or documents in respect to which, the necessary modifications to the document to be released would make the release of the remainder of the document impractical. You are referred to the terms of section 11C.

Should you have any questions concerning the processing of your request please contact me on telephone number (02) 9911 2434.

Yours faithfully

A handwritten signature in blue ink, appearing to read 'Leah Quach', with a stylized, cursive script.

Leah Quach

(Authorised Decision- maker pursuant to section 23(1) of the FOI act)