



**ASIC**

Australian Securities & Investments Commission

Our Ref: 428/17

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Sydney NSW 2000  
GPO Box 9827, Sydney NSW 2001  
DX 653 Sydney

17 January 2017

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***By email: [foi+request-2885-d134d804@righttoknow.org.au](mailto:foi+request-2885-d134d804@righttoknow.org.au)***

Dear Mr Sweeney

**Request Under the Freedom of Information Act 1982  
For Access to Documents**

I refer to your request under the Freedom of Information Act 1982 (FOI Act) received by this office on 28 December 2016 in which you sought access to a copy of the covering letter (or email) that made reference to the Committee's questions and which included ASIC's response to the Committee (or to which the response was attached) on the Provident Fund during the Senate inquiry into the performance of ASIC.

I am the authorised decision-maker for the purposes of section 23 of the FOI Act.

I have identified the document which comes within the terms of your request.

I advise that I have decided to release the document which is attached to this letter.

**Review Rights**

In the event that you are dissatisfied with the decision:

1. You may, within 30 days after the day on which you have been notified of this decision, apply in writing to ASIC for a review of my decision by another ASIC officer under section 54B of the FOI Act. This request should be addressed to me or to the Senior Manager, Administrative Law GPO Box 9827 SYDNEY or by email to [foirequest@asic.gov.au](mailto:foirequest@asic.gov.au)
2. You may within 60 days after the day on which you have been notified of this decision, apply in writing to the Office of the Australian Information Commissioner (OAIC) for a review of my decision under section 54N of the FOI Act. You may contact the OAIC by post at GPO Box 5218 Sydney NSW 2001, by email at [enquiries@oaic.gov.au](mailto:enquiries@oaic.gov.au) or by telephone on 1300 363 992

**Right to complain**

3. You may lodge a complaint with the OAIC in relation to the conduct of ASIC in the handling of this request. You may contact the OAIC as described above.

Yours faithfully

A handwritten signature in black ink, appearing to read 'Leah Quach', with a stylized, cursive script.

Leah Quach  
(Authorised decision- maker under subsection 23(1) of the FOI Act)  
for the Australian Securities and Investments Commission

## ATTACHMENT

### Covering email attaching ASIC's response to the Senate Committee's questions on notice

From: Robert Rush/Sydney/NSW/ASIC  
To: "Turner, Sean (SEN)" <Sean.Turner@aph.gov.au>,  
Cc: "Hannan, Colby (SEN)" <Colby.Hannan@aph.gov.au>, Matthew  
Abbott/Sydney/NSW/ASIC@ASIC  
Date: 08/05/2014 09:48 PM  
Subject: ASIC QoNs [DLM=Sensitive:Legal]

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Hi Sean,

Thanks for the call.

Here are some more QoNs.



- QoNs GT Final V1.docx

In regard to the best format to provide the QoNs to I was thinking would it be suitable to provide in the form the QoNs were provided to us with the addition of the Doc I put together (Senate April 2014 - QoN).

When I get the final answer from "QoNs PK Final ASIC Inquiry.docx" as they are being re-visited, I will provide them in a combined doc.

Happy to discuss, please call me as required.

Thanks  
RR



Questions(2).docx



Questionsfinal.docx



- Senate April 2014 - QoNs.docx

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