



ASIC

Australian Securities & Investments Commission

Our Reference: 4308/17

16 February 2017

GPO Box 9827  
In your capital city

[www.asic.gov.au](http://www.asic.gov.au)

Mr Jason Simpson

By email: [foi+request-3034-d8b185c4@righttoknow.org.au](mailto:foi+request-3034-d8b185c4@righttoknow.org.au)

Dear Mr Simpson

### Freedom of Information Request

I refer to your request for access to documents under the *Freedom of Information Act 1982* (FOI Act) received on 9 February 2017.

I am an officer authorised under subsection 23(1) of the FOI Act to make decisions about FOI requests.

### Scope of request

Your FOI request is for the following:

*"The document I seek is a document titled ASICmeetingresponcefromSimpson.docx dated 13/10/2016 sent to Warren Day, Assessment and Intelligence and Regional Commissioner at ASIC. The letter (attached to an email) was sent by Jason Simpson on 13/10/2016".*

We have identified one document falls within the scope of your request. As you would already have a copy of this document, we have decided to release it to you outside of the FOI Act. That document is **enclosed**.

If you have any questions about this letter please contact me on 03 9280 4638.

Yours sincerely

**Lauren Roy**  
**Misconduct & Breach Reporting**  
**Assessment & Intelligence**