

Our Reference: 41053/17



ASIC

Australian Securities & Investments Commission

9 November 2017

Mr Phillip Sweeney

By email: foi+request-4173-01ef890f@righttoknow.org.au

GPO Box 9827

In your capital city

www.asic.gov.au

Dear Mr Sweeney

Freedom of Information Request - Acknowledgement

We refer to your request for access to documents under the *Freedom of Information Act 1982 (FOI Act)*.

Your request is for:

" ... any correspondence between ASIC and the Committee (or Committee Secretariat) in relation to this Approach to the Commonwealth Ombudsman".

You have clarified that:

- The "Approach" you are referring to is a complaint lodged with the Commonwealth Ombudsman in 2011 in relation to the administration of section 1017C of the *Corporations Act 2001*; and
- The relevant search period is from 1 March 2017 to the present.

We received your request on 26 October 2017 and the 30 day statutory time frame for processing your request started from the day after that date. You should expect a decision from us by **Friday 25 November 2017**. The 30 day time frame may be extended if we need to consult third parties or for other reasons, such as charges. It is likely that we will consult with third parties, but we will advise you if this happens.

Please note that information released under the FOI Act may later be published online on our disclosure log on our website, subject to certain exceptions. For example, personal information will not be published where this would be unreasonable.

If you have any questions, please contact me on 03 9280 4638 or by email at lauren.roy@asic.gov.au.

Yours sincerely

A handwritten signature in blue ink, appearing to read 'L Roy'.

Lauren Roy

**Authorised decision-maker under subsection 23(1) of the FOI Act
Australian Securities and Investments Commission**