

Freedom of Information CP1-6-001 PO Box 7910 CANBERRA BC ACT 2610 Tel: 02 626 62200 Fax: 02 626 62112 FOI@defence.gov.au

Our reference: FOI 368/17/18

By email: foi+request-4454-5b06323e@righttoknow.org.au

Dear Mrs Pane,

#### NOTICE OF PRELIMINARY ASSESSMENT OF CHARGES

1. I refer to your request of 29 March 2018 in which you requested access, under the *Freedom of Information Act 1982* (FOI Act), to:

"Under FOI, and in particular s 17, I apply for summary statistician information to be complied (if not already compiled) of the number of Code of Conduct complaints received by Defence, about Defence public servants, for the last three financial years, as well as how many of those were investigated by Defence, and of those how many where eventually upheld.

I'd also like those to be broken down by the APS level involved."

2. The Department excludes personal email addresses, signatures, personnel (PMKeyS) numbers and mobile telephone numbers, contained in documents that fall within the scope of a FOI request unless you specifically request such details. Defence also excludes duplicates of documents, drafts of documents and documents sent to and from you.

3. If you do require these personal details, please inform us within five days of receipt of this email so that the decision maker can consider your request.

#### LIABILITY TO PAY CHARGES

4. In accordance with section 29 of the FOI Act, I have deemed that you are required to pay a charge for processing your request.

5. The decision regarding payment of a charge does not mean that you are required to pay now. You will only be required to pay if, after consideration of your response to this letter, the decision is made to impose a charge.

#### PRELIMINARY ASSESSMENT OF CHARGES

6. The preliminary assessment of the charge is **\$240.00**. You are required to pay a deposit of **\$60.00**. A breakdown of the charges is at Enclosure 1.

## **DEPOSIT REQUIRED**

7. If you wish to proceed with your request, and agree to pay the charge, a deposit is required as indicated above in the preliminary assessment of charges.

8. Please complete the authorisation form at Enclosure 2 and return it. Processing will recommence on receipt of the deposit payment.

### **RESPONSE TO THIS NOTICE**

9. Under the FOI Act you have 30 days of receipt of this letter to notify the Department of your decision to:

- a. withdraw your request (you will not be required to pay any charges); or
- b. agree to pay the charges as set out in the preliminary assessment of charges; or
- c. contest that the charge has been wrongly assessed, or should be reduced or not imposed (you should give your full reasons for doing so); or
- d. reduce the scope of your request. Defence will offer you one opportunity to consult our office to reduce the scope of your request and revise the preliminary assessment of charges.
- 10. If you require additional time to respond, please contact this office.

11. If you do not respond to this letter within 30 days or by the extension date provided by this office, it will be assumed you have withdrawn your request.

### CHALLENGING ASSESSMENT OF CHARGES

12. If you wish to contest the charges for your request, the decision maker will consider all relevant reasons which include the following:

- a. whether payment of the charge, or part of it, would cause you financial hardship; and
- b. whether the giving of access to the documents requested is in the general public interest or in the interest of a substantial section of the public.

#### FURTHER INFORMATION

13. If you proceed with the request, when a decision letter is sent to you, an invoice for the outstanding balance of charges will be raised and forwarded to you within 5 working days. Documents will not be released until we receive your proof of payment.

14. In accordance with the requirements of section 11C of the FOI Act, Defence is required to publish, on its website, information released under the FOI Act within 10 working days of the applicant being given access to documents. Defence will also publish the decision notice, with personal and business information deleted.

15. Please contact me if you have any questions about this matter.

Yours sincerely,

Cos Cameron Assistant Director Freedom of Information

Enclosures:

- 1. Breakdown of Charges
- 2. FOI Deposit authorisation



Australian Government

Department of Defence

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# Enclosure 1 BREAKDOWN OF CHARGES

Search and retrieval time		
Searching for documents	4 hours @ \$15 per hour	\$ 60.00
Decision-making time		
Examination of documents	11 hours @ \$20 per hour	\$ 220.00
Consulting outside of Defence	@ \$20 per hour	\$ -
Making copies of documents	@ \$20 per hour	\$ -
Preparing decision notice	3 hours @ \$20 per hour	\$ 60.00
Other decision making tasks	@ \$20 per hour	\$ -
Less	% reduction for personal information	\$ -
Less	5 hours of free decision making time	\$ 100.00
Other tasks		\$ -
Copying of documents	pages @ 10 cents per page	\$ -
Production of CD		
GST (Exempt)		Nil
Total		\$ 240.00
Deposit required		\$ 60.00



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# Enclosure 2 FREEDOM OF INFORMATION REQUEST - PAYMENT AUTHORISATION

FULL NAME or ORGANISATION		
Service or PMKEYS ID (if applicable)		
POSTAL ADDRESS:		
CONTACT PHONE NUMBERS	H/B	
	М	
EMAIL:		
FOI REFERENCE :	FOI 368/17/18	
DEPOSIT AMOUNT \$	\$60.00	

By signing this form you are agreeing to pay the charges notified to you by the Freedom of Information Directorate. The deposit is not refundable except in some limited circumstances (for example, if Defence fails to make a decision on your request within the statutory time limit), or may be refundable in part if the final charge is less than the deposit paid.

On completion of your request a final invoice will be generated for the outstanding balance of charges.

# PLEASE DO NOT SEND CHEQUES OR MONEY ORDERS TO FOI

Once our office receives this form, the Department of Defence will generate an invoice in order for you to make payment of the agreed charges via one of the payment options.

## Our office will not proceed to process your request until a receipt has been received in our office notifying that the deposit amount has been paid.

Please sign below and return this form by one of the following:

via email to FOI@defence.gov.au via fax 02 6266 2112 by post to the address noted above.

Signature: \_\_\_\_\_