

Mr Phillip Sweeney
By email: foi+request-5170cb06d332@righttoknow.org.au

Australian Securities and Investments Commission

Office address (inc courier deliveries) Level 7, 120 Collins Street, Melbourne VIC 3000

Mail address for Melbourne office: GPO Box 9827, Brisbane QLD 4001

Tel: +61 1300 935 075 Fax: +61 1300 729 000 www.asic.gov.au

41205/18

Our Reference:

24 December 2018

Dear Mr Sweeney

Acknowledgement of Freedom of Information Request

We refer to your request for access to documents under the Freedom of Information Act 1982 (FOI Act). Your request is for the following:

Copies of all internal emails made by Mr David Asadi or received by Mr Asadi (including copies of phone logs of internal phone discussions) related to report of misconduct CAS-20359-K6F4BB.

Scope of your request

We have interpreted your request to be for emails to and from Mr Asadi between 10 August 2018 and 7 December 2018. A preliminary search of our records shows that we received a request for a review on 10 August 2018 and we responded to you with an outcome of that review on 7 December 2018. Please contact us if this is not an accurate interpretation of your request.

Time frame for response

We received your request on 16 December 2018 and the 30 day statutory time frame for processing your request started from the day after that date. You can expect a response from us by 16 January 2019. The 30 day time frame may be extended if we need to consult third parties, request for chares or for other reasons and we will write to you if this happens.

Please note that information released under the FOI Act may later be published online on our disclosure log, subject to certain exceptions. For example, personal information will not be published where this would be unreasonable.

If you have any questions, please contact Mr David Asadi by email at david.asadi@asic.gov.au.

Yours sincerely

Faranaz Alam

(Authorised decision-maker under subsection 23(1) of the FOI Act) For the Australian Securities and Investments Commission