



ASIC
Australian Securities &
Investments Commission

**Australian Securities
and Investments Commission**

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Phillip Sweeney

By email: foi+request-5558-4c31fe56@righttoknow.org.au

Our Reference: FOI 156-2019

3 September 2019

Dear Mr Sweeney

Freedom of Information Request No. FOI 156-2019

I refer to your request for access to documents made under the *Freedom of Information Act 1982 (FOI Act)* on 3 August 2019 by which you requested access to documents in the possession of the Australian Securities and Investments Commission (**ASIC**).

Your request sought access to:

... a copy of the letter and/or email that was sent to the Chair of the House of Representatives Standing Committee on Economics following the sending of the above email to James Shipton, the Chairman of ASIC.

You clarified that the 'above email' you were referring to was an email James Shipton sent Sarah Henderson MP on 8 August 2018.

I am the authorised decision-maker for the purposes of section 23 of the FOI Act and this letter gives notice of my decision.

Decision and reasons for the decision

I advise that I have decided to grant access in full to the documents you have requested. ASIC understands that you have already been provided with a copy of the letter ASIC sent Sarah Henderson MP on 5 September 2018. That letter contained several attachments, which we are also releasing to you. The documents are enclosed with this letter.

Review rights

In the event that you are dissatisfied with the decision:

1. You may, within 30 days after the day on which you have been notified of this decision, apply in writing to ASIC for an internal review of my decision under section 54B of the FOI Act. This review is an independent process conducted by a Senior Freedom of Information Officer at ASIC. This request should be addressed to me or to the Senior Manager, Freedom of Information, GPO Box 9827, Brisbane QLD 4001 or by email to foirequest@asic.gov.au.

2. You may within 60 days after the day on which you have been notified of this decision, apply in writing to the Office of the Australian Information Commissioner (OAIC) for a review of my decision under section 54N of the FOI Act. You may contact the OAIC by post at GPO Box 5218 Sydney NSW 2001, by email at enquiries@oaic.gov.au or by telephone on 1300 363 992.

Right to complain

3. You may lodge a complaint with the OAIC in relation to the conduct of ASIC in the handling of this request. You may contact the OAIC as set out above.

If you have any questions or wish to discuss, please contact me at lauren.roy@asic.gov.au.

Yours sincerely

A handwritten signature in blue ink, appearing to read 'L Roy', is positioned above the printed name and title.

Lauren Roy
(Authorised decision-maker pursuant to subsection 23(1) of the FOI Act)
For the Australian Securities and Investments Commission