



**ASIC**  
Australian Securities &  
Investments Commission

**Australian Securities  
and Investments Commission**

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11 November 2019

Phillip Sweeney

By email: [foi+request-5794-47a5b431@righttoknow.org.au](mailto:foi+request-5794-47a5b431@righttoknow.org.au)

Dear Mr Sweeney,

**Freedom of Information Request No. FOI 234-2019**

**Acknowledgement of Request**

I refer to your request dated 27 October 2019 under the *Freedom of Information Act 1982 (FOI Act)* in which you seek access to documents in the possession of the Australian Securities and Investments Commission (**ASIC**).

Your request seeks access to the following:

*The document(s) I seek are copies of any policy documents governing so-called "Stakeholder Engagement Meetings" by ASIC Commissioners and other senior ASIC staff with the personnel (sic) of financial institutions that ASIC is supposed to be regulating.*

*The search period is from the time James Shipton appeared as a witness at the Hayne Royal Commission to the present.*

The 30-day statutory period for processing your request commenced on the day after your request was received. You should therefore expect a decision to be made by 26 November 2019.

The 30-day processing period may be extended should ASIC need to consult third parties, where a charge is to be imposed for the processing of the request or for other reasons. You will be advised if there are changes to the 30-day processing period.

Please note that any documents released to you under the FOI Act may later be published online on the ASIC disclosure log in accordance with our obligation to do so under the Act. This requirement to publish released documents is subject to certain exceptions for example, personal or business information will not be published where it would be unreasonable.

If you have any questions or wish to discuss, I can be contacted by email as below.

Yours sincerely,

A handwritten signature in dark ink, appearing to read 'Mirijana', with a long horizontal flourish extending to the right.

Mirijana Soldatic  
Senior Manager, FOI

Australian Securities and Investments Commission  
Email: [mirijana.soldatic@asic.gov.au](mailto:mirijana.soldatic@asic.gov.au)