|  | $\bullet$The matter has been listed for hearing on 12 and 13 <br> November 2019. |  |
| :--- | :--- | :--- |

## MEDEVAC/ASIO

| Key Messages | ASIO referral - 'Medevac leak' <br> - The matter was referred to the AFP on 7 February 2019 from the Department of Home Affairs. <br> - During the assessment of the referral it was identified 11 email addresses received the final PROTECTED version of the document containing the disclosure information. However, more than $\mathbf{2 0 0}$ people were identified as having received either of the documents (PROTECTED and/or UNCLASS) leading up to the final versions and could have accessed the information subject to the disclosure. <br> - Due to the significant number of people with access to this information and the limited prospects of identifying a suspect, the AFP did not accept this referral for investigation. |
| :---: | :---: |
| Key Facts | - The AFP assessed this referral in accordance with the Case Categorisation and prioritisation Model (the CCPM). <br> - This matter was assessed and not accepted for investigation. |
| Background | Why didn't the AFP investigate the medevac/ASIO leak? <br> - The AFP assessed this referral in accordance with the Case Categorisation and prioritisation Model (the CCPM). <br> - The final PROTECTED version was sent to 11 individual email addresses. It should be noted that multiple staff may have had access to those inboxes as it is common for the executive assistants and project officers, or similar, of SES staff to have access to their SES' inbox. The final UNCLASSIFIED version was sent to four email addresses, three of which were group inboxes. Throughout the production of the document, from first draft to final version, over 200 people had access to the email addresses that the document was sent to. The details that appeared in the media could have been obtained from either the final versions of the document (both PROTECTED and UNCLASSIFIED) or from any of the draft versions. This matter was assessed and not accepted for investigation. <br> Why do FOI documents say only 11 people received the information? <br> - The final version of the PROTECTED document was sent to 11 email addresses. <br> - But the AFP's inquiries revealed over 200 people received either the PROTECTED or TH the UNCLASSIFIED version of the documents before they were finalised. <br> Background LEASED IN ACCORDANCE WITH THE |


| - The AFP received the referral from the Department of Home Affairs (HA) on 7 |
| :--- | :--- |
| February 2019 after two articles containing PROTECTED information appeared in |
| The Australian (on the same day). |
| o The PROTECTED information had been contained in two Ministerial |
| Submissions. |$\quad$| - The referral was assessed in accordance with the AFP's CCPM protocols. |
| :--- |
| - During the assessment of the referral it was determined that two documents |
| contained the information that appeared in The Australian on 7 February 2019 in |
| an article titled Medivac Plan 'Compromises Border Protection; - Phelps bill a |
| security risk: ASIO. |
| Both documents were Ministerial submissions. Either of these documents, or |
| both, may have been disclosed to the journalist or another unauthorised person. |
| Communication between the Secretary of the Department and the AFP |
| - There was no communications between the AFP and the Secretary of the |
| Department of Home Affairs or his office in relation to this matter. |
| The AFP investigators made contact with the Department of Home Affairs Integrity |
| Unit during the investigation. |

> THIS DOCUMENT HAS BEEN DECLASSIFIED AND RELEASED IN ACCORDANCE WITH THE FREEDOM OF INFORMATION ACT 1982 (COMMONWEALTH) BY THE AUSTRALIAN FEDERAL POLICE

## Au Pair Investigation

| Key Messages | - The matter was referred to the AFP on 30 August 2018 from the Department of Home Affairs, and the investigation has subsequently been finalised. <br> - This referral can be distinguished from other referrals that did not result in an investigation. <br> - Additional information was provided from the Department of Home Affairs that indicated that there were investigational leads for this case. <br> - Consistent with long standing practice of the AFP across all sides of government, the Minister's Office was notified of the warrant activity the day before its execution. <br> - Parliamentary privilege was claimed by Senator Pratt on material seized by the AFP during a search warrant on the Department of Home Affairs on 11 October 2018. <br> - The Committee of Privileges concluded that this material was subject to parliamentary privilege and should be provided to Senator Pratt. |
| :---: | :---: |
| Key Facts | - On 11 October 2018, the AFP executed warrants at the Department of Home Affair's premises. <br> - This investigation has been finalised. |
| Background | INVESTIGATION <br> - On Thursday 30 August 2018, the Australian Federal Police (AFP) received a referral from the Department of Home Affairs regarding unaụthorised access and disclosure of information. <br> - The AFP subsequently undertook enquiries and conducted a number of activities in relation to this investigation. <br> - On Thursday 11 October 2018, the AFP executed warrants on the Department of Home Affairs in relation to this investigation. <br> - A claim of parliamentary privilege was made by Senator Pratt and in accordance with established search warrant protocol, the seized material was lodged with the Clerk of the Senate pending determination of the status of the material. <br> - On 22 October 2018, the Committee of Privileges (the Committee) invited the AFP <br> TH to provide a submission on the claim of parliamentary privjlege and the application of the law of parliamentary privilege. The AFP's submission was presented on 9 November 2018. <br> - On 26 November 2018, the Committee's 172 nd Report was tabled. It concluded that the material seized during the warrant was subject to parliamentary privilege | BY THE AUSTRALIAN FEDERAL POLICE


|  | and should be provided to Senator Pratt (the AFP have not been advised of the date when this occurred). <br> - On 29 November 2018, the AFP was invited to appear before the Committee in a closed hearing on 6 December 2018 to provide further evidence and clarification. <br> - The AFP considers the investigation finalised. <br> SENATE PRIVILEGES COMMITTEE HEARING <br> - On 6 December 2018, the AFP appeared before the Committee. On 21 December 2018, the Secretariat of the Committee wrote to the AFP and sought response to six Questions on Notice (QoNs) that had been taken during the Committee's hearing, as well as two supplementary questions. <br> - On 14 January 2019, the AFP responded to four of these questions. <br> - On 8 February 2019, the AFP responded to the outstanding questions. The Committee has not yet advised when it will re-sit, or whether it will publish a second report. <br> - It would be inappropriate to comment further as the matter is in a closed hearing before the Committee. <br> What made AFP prioritise the Home Affairs au pair leak? <br> - This investigation has been finalised by the AFP as a result of the findings of the Senate Standing Committee of Privileges 172th Report. <br> - AFP received a referral from the Department of Home Affairs on 30 August 2018 and assessed this referral in accordance with the Case Categorisation and prioritisation Model (the CCPM). <br> The Case Categorisation Priority Model (CCPM) assessment recorded against this referral indicates the following assessments were made: <br> - Categorises - Unauthorised disclosure by Commonwealth Public Officials under the category of Corruption <br> - Impact on the Client was CRITICAL. <br> - Investigations Priority was ESSENTIAL <br> - Impact of the Investigation was HIGH <br> - Value of the investigation to the AFP was HIGH <br> Based on this CCPM assessment the AFP decided to commence an investigation. <br> Background on warrants from FOI \& QoNs <br> - On 10 October 2018, AFP National Manager Crime Operations, Assistant Commissioner Debbie Platz, attempted to call the Minister for Home Affairs' ANadviser and Chiefof Staffl When these phone calls were not answered a text message was sent to the Minister's Chief of Staff at 11:14am on 10 October 2018: |
| :---: | :---: |
|  | (COMMONWEALTH) <br> BY THE AUSTRALIAN FEDERAL POLICE |



> THIS DOCUMENT HAS BEEN DECLASSIFIED AND RELEASED IN ACCORDANCE WITH THE FREEDOM OF INFORMATION ACT 1982 (COMMONWEALTH) BY THE AUSTRALIAN FEDERAL POLICE

## AWU/ Witness K Investigation

| KEY <br> MESSAGES | - The AWU Investigation is in reference to an unauthorised disclosure of information relating to the AFP search warrant the AWU. It did not proceed to prosecution based of advice from CDPP. <br> - CDPP obtained AG consent to prosecute on $\mathbf{1 1}$ May 2018 in relation to Op SKOBELOFF. <br> - Witness K indicated that he will plead guilty to Conspiracy to communicate ASIS information (section 11.5 Criminal Code and section 39 of the Intelligence Services Act 2001). <br> - Witness K's matter is next before court on 15 November 2019. |
| :---: | :---: |
| KEY FACTS | AWU Investigation <br> - On 11 January 2019, the CDPP advised AFP of a decision not to commence a prosecution. <br> Op SKOBELOFF (Witness K) <br> - On 6 August 2019 in the ACT Magistrate Court, Witness K indicated that he will plead guilty to one charge of conspiracy to communicate ASIS information, contrary to section 11.5 of the Criminal Code and section 39 of the Intelligence Services Act 2001. |
| BACKGROUND | Why did the AFP not lay charges in the AWU case? <br> - The AFP prepared a brief of evidence for consideration by the CDPP in accordance with usual procedure. <br> - On 11 January 2019, the CDPP advised AFP of a decision not to commence a prosecution <br> - I'm advised the decision was made on the basis there were no reasonable prospects of conviction. <br> Op SKOBELOFF (Witness K) <br> - This is an alleged unauthorised disclosure of classified information by former intelligence officer. <br> - ${ }^{\circ} \mathrm{On} 6$ August 2019 in the ACTMagistrate Gourt, Witness K indicated that he will <br> AN plead guilty to one charge of conspiracy to communicate ASIS information, contrary to section 11.5 of the Criminal Code and section 39 of the Intelligence Services Act 20010 F INFORMATION ACT 1982 <br> - Witness K's co-accused, Mr Bernard Collaery pled not guilty and has been committed to stand trial in the ACT Supreme Court for the offence of conspiracy to |



## THIS DOCUMENT HAS BEEN DECLASSIFIED AND RELEASED IN ACCORDANCE WITH THE FREEDOM OF INFORMATION ACT 1982 (COMMONWEALTH) BY THE AUSTRALIAN FEDERAL POLICE

THIS DOCUMENT HAS BEEN DECLASSIFIED AND RELEASED IN ACCORDANCE WITH THE FREEDOM OF INFORMATION ACT 1982 (COMMONWEALTH)
BY THE AUSTRALIAN FEDERAL POLICE

## PRIORITISING INVESTIGATIONS

| Key Messages | - The AFP cannot be directed to investigate a matter by the Minister or a Department. <br> - The CCPM applies objective measures and the assessment is conducted by the Sensitive and Offshore Investigation teams. <br> - The AFP has received in order off 100 referrals since 2013. |
| :---: | :---: |
| Key Facts | - There are some circumstances in which the Law Enforcement Integrity Commissioner can direct an investigation. <br> - We are transparent about the objective criteria. The CCPM guidelines are publically available on the AFP website. |
| Background | Why did the AFP investigate some leak allegations and reject others? <br> - When the AFP receives a referral from a government department or a member of the public, it is assessed under the Case Categorisation and Prioritisation Model (the CCPM), which determines whether the case will be investigated and the priority that be given. <br> - (PJCIS Sub21.4 p.3) <br> - For unauthorised disclosures, some of the relevant criteria include: <br> - The impact of the alleged offending on Australian society; <br> - The likelihood of success in an investigation; and <br> - Whether an alternative to criminal investigation is appropriate. <br> Action by departments and agencies <br> - The AFP only accepts referrals from departments and agencies when there are allegations of criminal conduct. <br> - Departments and agencies may conduct their own initial inquiries to determine the scope of the allegations as well as the harm involved. <br> - Departments and agencies do not exercise police powers to investigate. |

## THIS DOCUMENT HAS BEEN DECLASSIFIED <br> AND RELEASED IN ACCORDANCE WITH THE

## Executive Brief

## Thresholds and Powers

| Key Messages | - I am conscious this is a public forum and there are criminal offences in the Telecommunications (Interception \& Access Act) 1979 (section 181A) for disclosing the existence or otherwise of a journalist information warrant. <br> - There are limitations on what I can say further in a public forum. |
| :---: | :---: |
| Key Facts | - There are strict legislative restrictions, including criminal offences for disclosing the existence or otherwise of a journalist information warrant. <br> - E.g. the Telecommunications (Interception \& Access Act) 1979, section 182A. <br> - We do have statutory obligations to report to parliament on the use of JIWs. <br> - As reported recently to the Parliamentary Joint Committee on Intelligence and Security, the AFP has had 2 JIWs issued in the 2017-18 financial year. |
| Background | Legal mechanisms to obtain metadata <br> - The Telecommunications Act 1997 prohibits telecommunications carriers from providing access to telecommunications data except as "required or authorised under law" (s.280). <br> o The TIA Act provides one means of authorising carriers to provide data (restricted to 21 agencies). <br> o Data can also be provided under subpoena. <br> - Some agencies (not AFP) have their own notice to produce powers. <br> - It is also possible for AFP to obtain telecommunications data directly from a device under a warrant, for example: <br> o Computer Access Warrant <br> o 3E search warrant <br> If pushed for specific operational information on SD, TI or metadata <br> -THis relates to operationally sensitive information. There arelalso statutory limits on ANhatRcan say publically. N ACCORDANCE WITH THE <br> Why does AFP need to "add, copy, delete or alter" data in a search warrant? <br> - The Crimes Act includes a list of things that are authorised in all 3E search warrants. |



## THIS DOCUMENT HAS BEEN DECLASSIFIED AND RELEASED IN ACCORDANCE WITH THE FREEDOM OF INFORMATION ACT 1982 (COMMONWEALTH) BY THE AUSTRALIAN FEDERAL POLICE

## The EFI Amendments

| Key Messages | - Police may need to use investigation powers to determine whether the journalist defence applies. <br> - This is not a blanket defence for journalists. To be covered by the defence, the journalist must have reasonably believed their conduct was in the public interest. <br> - Police need to collect information in order to determine those facts. |
| :---: | :---: |
| Key Facts | - Under the new Criminal Code offences there may still be instances where police need to collect information about journalists, including through police powers. |
| Background | Would the AFP have investigated the journalists under the new offences? <br> - I am not going to comment on the specifics of those investigations. <br> - It may be necessary to conduct an investigation to determine the identity of the Commonwealth official that has made the unauthorised disclosure. <br> o In some instances, collecting information about a journalist will be the only way for investigators to identify the Commonwealth official. <br> Would the AFP support maintaining records in relation to occupation types? <br> - However the AFP acknowledges that some public interests, such as the freedom of the press, are important, and is happy to consider maintaining records - if that would assist in reassuring the public the AFP's conduct is appropriate. <br> - No - the AFP does not support maintaining records of occupation types. <br> - In general occupation types are not a clear indicator of likelihood of engagement in criminal activity. <br> - The community expects the AFP to safeguard the privacy of individuals and the recording of occupation types may be viewed as either profiling or selectively identifying individuals. <br> - This has the potential to undermine the confidence of the Australian community in the AFP. There would also be a significant impost to the AFP to develop a system to accurately record, maintain and retrieve the records. <br> - Griminalofences do not generally distinguish criminality based on a person's occupation. In many cases making this distinction could be inappropriate, and AN could invite criticism regarding the selective application of the law. |


|  | Would the AFP support expanding the defence to all whistle-blowers acting in the <br> public interest? |
| :--- | :--- |
| - This is ultimately a matter for Government. |  |
| - The AFP would have concerns about the impact this would have on AFP operations |  |
| and the work of our partner agencies, both domestically and offshore. |  |
| - I will reiterate that the risks in releasing classified information to the public may |  |
| not be obvious to an individual Commonwealth official or the public. |  |
| - So a regime that endorsed whistle-blowers taking sensitive material out of secure |  |
| environments (by going straight to the media or to the public) would be very |  |
| concerning to security agencies. |  |

## THIS DOCUMENT HAS BEEN DECLASSIFIED AND RELEASED IN ACCORDANCE WITH THE FREEDOM OF INFORMATION ACT 1982 (COMMONWEALTH) BY THE AUSTRALIAN FEDERAL POLICE

## Executive Brief

## Journalist Information Warrants

| Key Messages | - The JIW regime is subject to statutory secrecy protections, including a prohibition on stating whether a JIW exists or not, unless there is an appropriate justification e.g. the disclosure is reasonably necessary for the enforcement of the criminal law. <br> - The AFP has publically stated that a JIW was not sought in the course of SMETHURST and ABC investigations. The AFP's view is that this disclosure was appropriate given the high level of public interest in those matters, and the need for public confidence in the enforcement of the criminal law. <br> - All of the AFP JIW warrants were reported to the MHA (via the Department of Home Affairs) and to the Ombudsman in accordance with the legislation. <br> - The AFP retains records in the terms required by law, which do not include a person's occupation. Accordingly, the AFP does not keep specific records for the occupation of the person in relation to search warrants. Therefore, the AFP cannot provide a specific figure in relation to how many search warrants have been obtained 'in relation to a journalist' across all crime types. <br> - The AFP is not able to comment on sensitive aspects of investigations that have been, are being, or are being proposed to be undertaken by the AFP. Such sensitivities include the use of statutory powers and the extent to which such powers may be used, or not used, in relation to those persons under investigation. |
| :---: | :---: |
| Key Facts | - The AFP has reviewed its records of unauthorised disclosure investigations since 2013. The AFP has received in order of 100 referrals relating to alleged Commonwealth unauthorised disclosure offences. <br> - The AFP is legally required to obtain a JIW to access data relating to a journalist, in circumstances outlined in s. 180H(2) of the Telecommunications (Interception and Access) Act . |
| Background | How many Journalist Information Warrants (JIWs) have been used since their enactment? <br> - A journalist information warrant only authorises the AFP to obtain certain metadata from a carriage service provider. When investigators are seeking to locate evidence ANon a person or premises, al siw would not be appropriate or available. |




Why hasn't the AFP been consistent in its approach to revealing information about the media search warrants?

- The high level of public interest in the SMETHURST and ABC investigations, together with the publicity surrounding the current civil proceedings, mean there is more information in the public domain in respect of those investigations than would typically be revealed. That said, both those investigations are ongoing, are subject to ongoing civil litigation, and with respect to the $A B C$ matter, criminal proceedings. It would not be appropriate to comment further on these two active investigations.
- The AFP has publically stated that a JIW was not sought in relation to those investigations. The AFP's view is that this was appropriate given the high level of public interest in those matters, and the need for public confidence in the enforcement of the criminal law.

In relation to all warrants on any journalist:

- The AFP don't keep records of warrants by occupation.


## THIS DOCUMENT HAS BEEN DECLASSIFIED AND RELEASED IN ACCORDANCE WITH THE FREEDOM OF INFORMATION ACT 1982 (COMMONWEALTH) BY THE AUSTRALIAN FEDERAL POLICE

## Freedom of Information (FOI)




> THIS DOCUMENT HAS BEEN DECLASSIFIED AND RELEASED IN ACCORDANCE WITH THE FREEDOM OF INFORMATION ACT 1982 (COMMONWEALTH) BY THE AUSTRALIAN FEDERAL POLICE

