

Decision and Statement of reasons issued under the *Freedom of Information Act 1982*

Decision and reason for decision of Jack (Position Number 62212813), Information Law Section, Legal Services and Audit Branch, Department of Veterans' Affairs

Applicant:	Mr Stuart McCarthy	
Decision date:	10 June 2020	
FOI reference number:	FOI 34806	
Sent by email:	foi+request-6208-3b429c87@righttoknow.org.au	

Dear Mr McCarthy

Freedom of Information Request: FOI 34806

Decision

- 1. The Department of Veterans' Affairs (**Department**) has undertaken a reasonable search of its records and has identified 18 documents (**the documents**) relevant to your request.
- 2. I have made a decision to grant access in part to the documents.
- 3. The documents that I have chosen to grant access to are set out in **Schedule 1**, together with applicable exemption provisions. Where I have decided to grant access in part, I have provided access to an edited copy of the documents, modified by deletions in accordance with section 22(2) of the *Freedom of Information Act 1982 (Cth)* (**FOI Act**).

Authority to make decision

4. I, Jack (Position Number 62212813), Legal Officer, Information Law Section, am an officer authorised by the Secretary of the Department to make decisions about access to documents in the possession of the Department in accordance with section 23(1) of the FOI Act.

Summary

5. On 10 March 2020, you made a request for access to documents in the possession of the Department. Your request was made in the following terms:

...On 27 February 2020, the Ex-Service Organisations Round Table (ESORT) received a briefing on magic mushrooms spiritual healing ceremonies from opera singer Tania de Jong. The presentation was approved by DVA Secretary Liz Cosson.[1]

Tania de Jong is a Director of Mind Medicine Australia (MMA), which describes itself as "a charity that enables the development of regulatory-approved and research-backed psychedelic-assisted psychotherapy for the treatment of mental ill-health in Australia." Other MMA directors include investment banker Peter Hunt, and Open Arms National Advisory Committee (NAC) chair Jane Burns.[2]

On 12 December 2019 and in late January 2020, Jane Burns met with the Federal Treasurer, the Prime Minister and the Minister for Health, to lobby for veterans mental health-related project funding, in return for opposing the Royal Commission into Veteran Suicides.

In accordance with the FOI Act, I hereby request to be provided with the following documents:

1) The MMA presentation to ESORT on or around 27 February 2020.

2) All correspondence between MMA representatives (including directors Peter Hunt, Tania de Jong and Jane Burns) and DVA officials (including but not limited to Liz Cosson and Stephanie Hodson) relating to the use of psychedelic substances for the "treatment" of mental health conditions, from 1 July 2019 to 1 March 2020...

- 6. A decision on your request was due by 9 April 2020.
- On 31 March 2020, the Department requested an extension of time from you under section 15AA of the FOI Act. To date, no response has been received in relation to this request.
- 8. On 3 April 2020, the Department made an application to the Office of the Australian Information Commissioner (OAIC) for an extension of time, for a further 30 days to process your request under section 15AB of the FOI Act. On 8 April 2020, the OAIC granted this extension. As such, the revised due date for a decision on your request was 11 May 2020 (noting that 9 May 2020 fell on a weekend).
- 9. On 4 May 2020, you were advised that the Department was required to undertake a third party consultation in accordance with sections 27 and 27A of the FOI Act. The third party's views have been taken into account in making my decision.

- 10. As extensions of time were applied to process your request in accordance with sections 15(6) and 15AB of the FOI Act, a decision on your request was due by 8 June 2020.
- 11. In accordance with section 15AC of the FOI Act, the principal officer of the Department is taken to have made a decision personally refusing to give access to the documents on the last day of the initial decision period. Even where a decision has not been issued within the prescribed timeframe, the Department retains an obligation to process and finalise the FOI request. I would like to apologise for the delay in issuing this decision to you and any inconvenience it may have caused.
- As a decision on your request was not made within the timeframe as prescribed in section 15(5)(b) of the FOI Act, Regulation 7(2) of the *Freedom of Information (Charges) Regulations 2019* prescribed that no charge is payable.

Material taken into account

- 13. In accordance with section 26(1)(a) of the FOI Act, my findings on any material question of fact, the material on which those findings were based and the reasons for my decision to grant part access to the documents follows.
 - the terms of your request dated 10 March 2020;
 - the types of information and documents that are in the Department's possession;
 - the content of the document that fall within the scope of your request;
 - consultation with other Department Officers in relation to the terms of your request;
 - the views of third parties consulted by the Department under sections 27 and 27A of the FOI Act.
 - Sections 3, 11 and 11A of the FOI Act which give the Australian community a legally enforceable right to obtain access to information held by the Government of the Commonwealth. I also considered the following provisions of the FOI Act relevant to my decision:
 - Section 11B Public interest exemption factors
 - Section 15 Request for Access
 - Section 22 Access to edited copies with exempt or irrelevant material deleted
 - Section 47E Public interest conditional exemptions--certain operations of agencies

- the Guidelines issued by the Australian Information Commissioner under section 93A of the FOI Act (FOI Guidelines).
- 14. A full extract of all FOI Act provisions used to make my decision are provided in **Schedule 2**.

Reasons for decision

15. I have decided to **grant access** to the documents within the scope of your request, subject to the following exemptions in accordance with the FOI Act:

Public interest conditional exemptions--certain operations of agencies (section 47E)

- 16. I have found that parts of the documents which are listed as exempt in accordance with this provision in **Schedule 1**, contains material that if released, could have a substantial adverse effect on the management of personnel by the Department (section 47E(c) of the FOI Act) and the proper and efficient conduct of the operations of the Department (section 47E(d) of the FOI Act).
- 17. Sections 47E(c) and 47E(d) of the FOI Act provides that a document is conditionally exempt if its disclosure would, or could reasonably be expected to, do any of the following:
 - (a) have a substantial adverse effect on the management or assessment of personnelby the Commonwealth, by Norfolk Island or by an agency;
 - (b) have a substantial adverse effect on the proper and efficient conduct of the operations of an agency.
- 18. I have redacted the surnames of non-Senior Executive staff members within the documents to give effect to this conditional exemption, other than the names of those non-Senior Executive staff members whose contacts details you already have or where they are already known to you.
- 19. The Department's role is to provide support and information to veterans and their families, Australian Defence Force personnel and other categories of people. The services and support which the Department provides include pensions and compensation, health care, rehabilitation and counselling services, amongst other things. It is important that the Department is able to continue to provide these services and to carry out the other legislative functions and administrative and operational processes required of them. Certain processes and specific channels of communication have been implemented which enable

clients and other relevant stakeholders to contact the Department in a way that ensures all Departmental resources are managed as efficiently as possible.

- 20. Release of the exempt information in the circumstances would adversely affect the Department as the established lines of communication could then be bypassed. It is reasonable to expect that this would disrupt and adversely affect the operations of the Department.
- 21. Further, the release of this information could have a substantial adverse effect on the management or assessment of personnel as well as an adverse effect on the proper and efficient conduct of the operations of the agency including the inability of the Department to retain staff or effectively manage the wellbeing and safety of our staff. Relevantly, some staff have been targeted, insulted or harassed based on disclosure of their details. This presents challenges in managing the welfare of staff and can affect the ongoing management and retention of personnel by the Department. Consequently, in these circumstances, it would not be in the public interest to release this information.
- 22. Accordingly, I have decided that parts of the documents listed as exempt in accordance with this provision in **Schedule 1**, meet the criteria for this conditional exemption. Where a document is assessed as conditionally exempt, access must be given subject to the public interest test in accordance with section 11A(5) of the FOI Act.
- 23. Where the document contains information exempt under section 47E of the FOI Act, an edited copy has been prepared (pursuant to section 22 of the FOI Act) with these details redacted.

Application of the public interest test:

- 24. Section 11A(5) provides that an agency must give the person access to the document if it is conditionally exempt at a particular time unless (in the circumstances) access to the document would, on balance, be contrary to the public interest.
- 25. In order to assess whether release of the exempt material would be contrary to the public interest, I considered the following factors which favour disclosure:
 - (a) disclosure would promote the objects of the FOI Act.
- 26. I also considered the following factors which do not favour disclosure:

- (a) disclosure would, or could reasonably be expected to, have a substantial adverse effect on the management or assessment of personnel by the Commonwealth, by Norfolk Island or by an agency;
- (b) disclosure would, or could reasonably be expected to, have a substantial adverse effect on the proper and efficient conduct of the operations of an agency;
- (d) disclosure could reasonably be expected to prejudice the management function of an agency.
- 27. I am satisfied that no irrelevant factor has been considered, as set out in section 11B(4) of the FOI Act.
- 28. On balance, I consider the public interest factors against disclosure to be more persuasive than the public interest factors favouring disclosure. I am satisfied that the public interest is to withhold the exempt material.

Delete exempt or irrelevant material from documents and provide access to edited copies (section 22)

- 29. The Department may refuse access to a document on the grounds that it is exempt. If so, the Department must consider whether it would be reasonably practicable to prepare an edited copy of the document for release, that is, a copy with relevant deletions made under section 22 of the FOI Act. The Department is under the same obligation to consider preparing an edited copy of a document by removing information that would reasonably be regarded as irrelevant to the request.
- 30. As explained above, some of the documents subject to your request contain exempt information. On this basis, I have prepared the documents for release by removing that exempt material in accordance with section 22 of the FOI Act.
- 31. I have also deleted the name of the FOI processing officer from the top of each document, as irrelevant and out of scope of your request in accordance with section 22 of the FOI Act. The officer's name did not form part of the original documents and is only identified as the officer had to prepare the documents in response to this FOI request.
- The material that has been edited for release is marked within the documents as well as at Schedule 1.

Access to documents

33. The documents released to you in accordance with the FOI Act are enclosed.

Information Publication Scheme

- 34. The Information Publication Scheme requires the Department to publish information released in response to individual requests made under the FOI Act, except in specified circumstances.
- 35. I am of the view that details of your request should be made available on the Department's FOI Disclosure Log. As such, details of your request will be published on the Department's FOI Disclosure Log which can be accessed at http://www.dva.gov.au/about-dva/freedom-information/foi-disclosure-log. Please note that the Department does not publish details of FOI applicants, it only publishes details of the FOI request and the documents released in response to the request.

Your rights of review

36. If you are dissatisfied with my decision you may apply for Information Commissioner Review of the decision through the Office of the Australian Information Commissioner (**OAIC**). In accordance with section 54E(b) of the FOI Act, internal review is not available as the Department did not finalise your FOI request within the prescribed statutory timeframe.

OAIC review

37. Under section 54L of the FOI Act, you may apply to the OAIC to review my decision. An application for review by OAIC must be made in writing within 60 days of the date of this letter, and be lodged in one of the following ways:

Online:	www.oaic.gov.au		
Post:	Office of the Australian Information Commissioner		
	GPO Box 5218, Sydney NSW 2001		
Facsimile:	(02) 9284 9666		
Email:	enquiries@oaic.gov.au		
In person:	Level 3, 175 Pitt Street, Sydney NSW 2000		

38. More information about your review rights under the FOI Act is available in Fact Sheet 12 published by the OAIC: <u>http://oaic.gov.au/freedom-of-information/foi-resources/freedom-of-information-fact-sheets/foi-factsheet-12-your-review-rights</u>

Contact us

39. If you wish to discuss this decision, please do not hesitate to contact the Information Law Section using the following details:

Post:	Legal Services and Audit Branch, Department of Veterans' Affairs
	GPO Box 9998, Canberra ACT 2601
Facsimile:	(02) 6289 6337
Email:	Information.Law@dva.gov.au

Yours sincerely,

Jack (Position Number 62212813)

Legal Officer Information Law Section Legal Services and Audit Branch

10 June 2020



Schedule of documents

Applicant: Mr Stuart McCarthy

Decision date: 10 June 2020

FOI reference number: FOI 34806

Document bundle	Date of document	Document description	Page number	Decision	Exemption provision
reference				. .	
1	Various	Correspondence Bundle	1	Part	s 22, s 47E
2	2 October 2010	Nous Article Austrolian Financial Deview	6	Access	
2	2 October 2019	News Article – Australian Financial Review	6	Full Access	
3	Undated	Conference invitation and paper – Mind Medicine	7	Full	
			Access		
	Australia				
4 December 2019	Presentation – A New Treatment Paradigm for	12	Full		
	Mental Illness in Australia: Medicinal Psilocybin and		Access		
	MDMA Psychotherapy				
5	Various	Correspondence	52	Part	s 22
				Access	
6 Undated	Conference invitation and paper – Mind Medicine	54	Full		
	Australia		Access		
7 Various	Correspondence Bundle	69	Part	s 22, s 47E	
			Access		
8	2 October 2019	News Article – Australian Financial Review	72	Full	
				Access	
9	Undated	Conference invitation and paper – Mind Medicine	73	Full	
		Australia		Access	
10	December 2019	Presentation – A New Treatment Paradigm for	74	Full	
		Mental Illness in Australia: Medicinal Psilocybin and		Access	
		MDMA Psychotherapy			
11	Undated	Paper – Mind Medicine Australia	114	Full	
	onduced		114	Access	
12	7 January 2020	Draft Paper – Mind Medicine Australia	118	Full	
				Access	
13	23 January 2020	Email – Ms de Jong to Ms Cosson	124	Part	S 22
				Access	
14 7.	7 January 2020	Draft Paper – Mind Medicine Australia	126	Full	
				Access	
15	Undated	Conference invitation and paper – Mind Medicine	132	Full	
		Australia		Access	
16	December 2019	Presentation – A New Treatment Paradigm for	134	Full	
		Mental Illness in Australia: Medicinal Psilocybin and		Access	
		MDMA Psychotherapy			
17	Undated	Paper – Mind Medicine Australia	174	Full	
/	ondated		1,4	Access	
18	Various	Correspondence Bundle	178	Part	s 22, s 47E
				Access	,•



Schedule of relevant provisions in the FOI Act

3 Objects—general

- (1) The objects of this Act are to give the Australian community access to information held by the Government of the Commonwealth or the Government of Norfolk Island, by:
 - (a) requiring agencies to publish the information; and
 - (b) providing for a right of access to documents.
- (2) The Parliament intends, by these objects, to promote Australia's representative democracy by contributing towards the following:
 - (a) increasing public participation in Government processes, with a view to promoting better informed decision-making;
 - (b) increasing scrutiny, discussion, comment and review of the Government's activities.
- (3) The Parliament also intends, by these objects, to increase recognition that information held by the Government is to be managed for public purposes, and is a national resource.
- (4) The Parliament also intends that functions and powers given by this Act are to be performed and exercised, as far as possible, to facilitate and promote public access to information, promptly and at the lowest reasonable cost.

11 Right of access

- (1) Subject to this Act, every person has a legally enforceable right to obtain access in accordance with this Act to:
 - (a) a document of an agency, other than an exempt document; or
 - (b) an official document of a Minister, other than an exempt document.
- (2) Subject to this Act, a person's right of access is not affected by:
 - (a) any reasons the person gives for seeking access; or
 - (b) the agency's or Minister's belief as to what are his or her reasons for seeking access.

11A Access to documents on request

Scope

- (1) This section applies if:
 - (a) a request is made by a person, in accordance with subsection 15(2), to an agency or Minister for access to:
 - (i) a document of the agency; or
 - (ii) an official document of the Minister; and
 - (b) any charge that, under the regulations, is required to be paid before access is given has been paid.
- (2) This section applies subject to this Act.
- Note: Other provisions of this Act are relevant to decisions about access to documents, for example the following:
- (a) section 12 (documents otherwise available);
 (b) section 12 (documents in patients in the section of the section
- (b) section 13 (documents in national institutions);
- (c) section 15A (personnel records);
- (d) section 22 (access to edited copies with exempt or irrelevant matter deleted).

Mandatory access—general rule

(3) The agency or Minister must give the person access to the document in accordance with this Act, subject to this section.

Exemptions and conditional exemptions

(4) The agency or Minister is not required by this Act to give the person access to the document at a particular time if, at that time, the document is an exempt document.

Note: Access may be given to an exempt document apart from under this Act, whether or not in response to a request (see section 3A (objects—information or documents otherwise accessible)).

- (5) The agency or Minister must give the person access to the document if it is conditionally exempt at a particular time unless (in the circumstances) access to the document at that time would, on balance, be contrary to the public interest.
- Note 1: Division 3 of Part IV provides for when a document is conditionally exempt.

Note 2: A conditionally exempt document is an exempt document if access to the document would, on balance, be contrary to the public interest (see section 31B (exempt documents for the purposes of Part IV)).

- Note 3: Section 11B deals with when it is contrary to the public interest to give a person access to the document.
- (6) Despite subsection (5), the agency or Minister is not required to give access to the document at a particular time if, at that time, the document is both:
 - (a) a conditionally exempt document; and
 - (b) an exempt document:
 - (i) under Division 2 of Part IV (exemptions); or
 - (ii) within the meaning of paragraph (b) or (c) of the definition of exempt document in subsection 4(1).

11B Public interest exemptions — factors

Scope

- (1) This section applies for the purposes of working out whether access to a conditionally exempt document would, on balance, be contrary to the public interest under subsection 11A(5).
- (2) This section does not limit subsection 11A(5).

Factors favouring access

- (3) Factors favouring access to the document in the public interest include whether access to the document would do any of the following:
 - (a) promote the objects of this Act (including all the matters set out in sections 3 and 3A);
 - (b) Inform debate on a matter of public importance;
 - (c) promote effective oversight of public expenditure;
 - (d) allow a person to access his or her own personal information.

Irrelevant factors

- (4) The following factors must not be taken into account in deciding whether access to the document would, on balance, be contrary to the public interest:
 - (a) access to the document could result in embarrassment to the Commonwealth Government, or cause a loss of confidence in the Commonwealth Government;
 - (b) access to the document could result in any person misinterpreting or misunderstanding the document;
 - (c) the author of the document was (or is) of high seniority in the agency to which the request for access to the document was made;
 - (d) access to the document could result in confusion or unnecessary debate.

Guidelines

(5) In working out whether access to the document would, on balance, be contrary to the public interest, an agency or Minister must have regard to any guidelines issued by the Information Commissioner for the purposes of this subsection under section 93A.

15 Requests for access (as related to the requirements for requests)

Persons may request access

(1) Subject to section 15A, a person who wishes to obtain access to a document of an agency or an official document of a Minister may request access to the document.

Requirements for request

- (2) The request must:
 - (a) be in writing; and
 - (aa) state that the request is an application for the purposes of this Act; and
 - (b) provide such information concerning the document as is reasonably necessary to enable a responsible officer of the agency, or the Minister, to identify it; and
 - (c) give details of how notices under this Act may be sent to the applicant (for example, by providing an electronic address to which notices may be sent by electronic communication).
- (2A) The request must be sent to the agency or Minister. The request may be sent in any of the following ways:
 - (a) delivery to an officer of the agency, or a member of the staff of the Minister, at the address of any central or regional office of the agency or Minister specified in a current telephone directory;
 - (b) postage by pre-paid post to an address mentioned in paragraph (a);
 - (c) sending by electronic communication to an electronic address specified by the agency or Minister.

22 Access to edited copies with exempt or irrelevant matter deleted

Scope

- (1) This section applies if:
 - (a) an agency or Minister decides:
 - (i) to refuse to give access to an exempt document; or
 - (ii) that to give access to a document would disclose information that would reasonably be regarded as irrelevant to the request for access; and
 - (b) it is possible for the agency or Minister to prepare a copy (an edited copy) of the document, modified by deletions, ensuring that:
 - (i) access to the edited copy would be required to be given under section 11A (access to documents on request); and
 - (ii) the edited copy would not disclose any information that would reasonably be regarded as irrelevant to the request; and
 - (c) it is reasonably practicable for the agency or Minister to prepare the edited copy, having regard to:
 - (i) the nature and extent of the modification; and
 - (ii) the resources available to modify the document; and
 - (d) it is not apparent (from the request or from consultation with the applicant) that the applicant would decline access to the edited copy.

Access to edited copy

- (2) The agency or Minister must:
 - (a) prepare the edited copy as mentioned in paragraph (1)(b); and
 - (b) give the applicant access to the edited copy.

Notice to applicant

- (3) The agency or Minister must give the applicant notice in writing:
 - (a) that the edited copy has been prepared; and
 - (b) of the grounds for the deletions; and
 - (c) if any matter deleted is exempt matter—that the matter deleted is exempt matter because of a specified provision of this Act.
- (4) Section 26 (reasons for decision) does not apply to the decision to refuse access to the whole document unless the applicant requests the agency or Minister to give the applicant a notice in writing in accordance with that section.

23 Decisions to be made by authorised persons

- (1) Subject to subsection (2), a decision in respect of a request made to an agency may be made, on behalf of the agency, by the responsible Minister or the principal officer of the agency or, subject to the regulations, by an officer of the agency acting within the scope of authority exercisable by him or her in accordance with arrangements approved by the responsible Minister or the principal officer of the agency.
- (2) A decision in respect of a request made to a court, or made to a tribunal, authority or body that is specified in Schedule 1, may be made on behalf of that court, tribunal, authority or body by the principal officer of that court, tribunal, authority or body or, subject to the regulations, by an officer of that court, tribunal, authority or body acting within the scope of authority exercisable by him or her in accordance with arrangements approved by the principal officer of that court, tribunal, authority or body.

26 Reasons and other particulars of decisions to be given

- (1) Where, in relation to a request, a decision is made relating to a refusal to grant access to a document in accordance with the request or deferring provision of access to a document, the decision-maker shall cause the applicant to be given notice in writing of the decision, and the notice shall:
 - (a) state the findings on any material questions of fact, referring to the material on which those findings were based, and state the reasons for the decision; and
 - (aa) in the case of a decision to refuse to give access to a conditionally exempt document—include in those reasons the public interest factors taken into account in making the decision; and

Note: Access must generally be given to a conditionally exempt document unless it would be contrary to the public interest (see section 11A).

- (b) where the decision relates to a document of an agency, state the name and designation of the person giving the decision; and
- (c) give to the applicant appropriate information concerning:
 - (i) his or her rights with respect to review of the decision;
 - (ii) his or her rights to make a complaint to the Information Commissioner in relation to the decision; and
 - (iii) the procedure for the exercise of the rights referred to in subparagraphs (i) and (ii); including (where applicable) particulars of the manner in which an application for internal review (Part VI) and IC review (Part VII) may be made.
- (1A) Section 13 of the Administrative Decisions (Judicial Review) Act 1977 does not apply to a decision referred to in subsection (1).
- (2) A notice under this section is not required to contain any matter that is of such a nature that its inclusion in a document of an agency would cause that document to be an exempt document. (see section 11A).

47E Public interest conditional exemptions—certain operations of agencies

A document is conditionally exempt if its disclosure under this Act would, or could reasonably be expected to, do any of the following:

- (a) prejudice the effectiveness of procedures or methods for the conduct of tests, examinations or audits by an agency;
- (b) prejudice the attainment of the objects of particular tests, examinations or audits conducted or to be conducted by an agency;
- (c) have a substantial adverse effect on the management or assessment of personnel by the Commonwealth, by Norfolk Island or by an agency;
- (d) have a substantial adverse effect on the proper and efficient conduct of the operations of an agency.

Note: Access must generally be given to a conditionally exempt document unless it would be contrary to the public interest (see section 11A).