Phillip Sweeney
By email: foi+request-6594-4e815515@righttoknow.org.au

18 August 2020

Dear Mr Sweeney

Freedom of Information Request No. FOI 158-2020

Acknowledgement of Request

I refer to your request dated 12 August 2020 under the *Freedom of Information Act 1982* (**FOI Act**) in which you seek access to documents in the possession of the Australian Securities and Investments Commission (**ASIC**).

Your request seeks access to a copy of:

1) the "No Action" letter sent to Andrew Thornburn (former NAB CEO) by ASIC in or around December 2019; and

2) a similar "No Action" letter that ASIC would have sent to Dr Ken Henry (former NAB Chairman) after his testimony at the Royal Commission.

As your request was received on 12 August 2020 and the 30-day statutory period for processing the request commenced on the day after the date of receipt, you should therefore expect a decision to be made by 12 September 2020.

The 30-day processing period may be extended should ASIC find it is necessary to consult third parties, where a charge is to be imposed on the processing of the request or for other reasons. You will be advised if there are changes to the 30-day processing period.

Please note that any documents released to you under the FOI Act may later be published online on the ASIC disclosure log in accordance with our obligation to do so under the FOI Act. This requirement to publish released documents is subject to certain exceptions for example, personal or business information will not be published where it would be unreasonable.

If you have any questions or wish to discuss, please contact me on Mabel.Say@asic.gov.au or (02) 9911 5269.

Yours sincerely,

Mabel Say
Freedom of Information Officer
(Authorised decision maker under section 23(1) of the FOI Act)