



Decision to register a registrable superannuation entity

Superannuation Industry (Supervision) Act 1993

TO: I.O.O.F Investment Management Limited ABN 53 006 695 021, RSE licence number L0000406 (the RSE licensee)

SINCE

- A. on 08 December 2005 the RSE licensee applied for the registration of IOOF Portfolio Service Superannuation Fund SFN 300207941 (the entity), as a registrable superannuation entity under section 29L of the *Superannuation Industry (Supervision) Act 1993* (the Act) (the Application for Registration); and
- B. (i) the Application for Registration complies with section 29L of the Act; and
(ii) the RSE licensee has provided to APRA all information that it was requested to provide under section 29LA of the Act or the request has been disposed of; and
(iii) I am satisfied that nothing in the governing rules of the entity conflicts with Part 6 of the Act; and
(iv) I am satisfied that the risk management plan for the entity meets the requirements of section 29P of the Act; and
(v) the RSE licensee holds an RSE licence that enables it to be a trustee of the entity.

I, Tony Randle, a delegate of APRA, under subsection 29M(1) of the Act, REGISTER the entity.

The registration number for the entity is R1000627.

Dated

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Tony Randle
General Manager
Specialised Institutions Division

Interpretation

In this Notice

APRA means the Australian Prudential Regulation Authority.

registrable superannuation entity has the meaning given in subsection 10(1) of the Act.

RSE licence has the meaning given in subsection 10(1) of the Act.

RSE licensee has the meaning given in subsection 10(1) of the Act.

Note 1 Under paragraph (i) of this notice APRA has stated that the Application for Registration complies with section 29L of the Act. This statement has been made on the basis of information held by APRA. If however, since making Application for Registration to APRA the trust deed by which the entity is constituted, the governing rules of the entity, or the risk management plan for the entity has been varied or revoked and replaced, and the RSE licensee has not lodged with APRA an up-to-date copy of the relevant document, section 29L(6) may have the effect of making the Application non-complying, which may affect the validity of the registration of the entity. If these circumstances are applicable, immediately contact APRA regarding the issue and lodge the required documents.

Note 2 Under section 29MB of the Act, after the registration of a registrable superannuation entity, the RSE licensee of the entity must ensure that the entity's registration number is included in the documents specified in section 29MB, unless the RSE licensee has been given written approval by APRA not to include the number in a particular document or in a class of documents.

Note 3 Under subsection 29N(1) of the Act, APRA must cancel the registration of a registrable superannuation entity if a reporting document within the meaning of the *Financial Sector (Collection of Data) Act 2001* relating to the entity is given to APRA under that Act and states that the entity has been wound up. Under subsection 29N(2) of the Act, APRA may cancel the registration of a registrable superannuation entity if APRA is satisfied, on reasonable grounds, that the entity has no beneficiaries and no assets and that there are no outstanding claims against the entity for benefits or other payments and that any other circumstances prescribed in the Regulations made for the purposes of paragraph 29N(2)(c) exist.

Note 4 Subdivision B of Division 5 of Part 2B of the Act sets out the requirements of RSE licensees in relation to risk management plans following the registration of a registrable superannuation entity.