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Australian Government
**Department of Industry, Science,
 Energy and Resources**

FOI Answers – LEX 67013

1. Completion dates of Assurance and Audit Committee reviews since 1 January 2016 as per ss. 6 & 7.6 Fraud and Corruption Control Plan.

- 3 March 2016
- 26 May 2016
- 23 August 2016
- 29 November 2016
- 28 February 2017
- 23 May 2017
- 31 August 2017
- 21 November 2017
- 4 June 2018
- 31 August 2018
- 20 November 2018
- 3 June 2019
- 30 August 2019
- 21 November 2019
- 18 June 2020
- 28 August 2020

2. Completion dates of AusIndustry Support for Business divisional fraud risk assessments since 1 January 2016 as per ss. 6 & 7.6 Fraud and Corruption Control Plan.

- 25 October 2016
- 16 December 2019
- 21 August 2020

3. all Incubator Support Initiative internal audit reports by Legal, Audit and Assurance as per s. 14.2.

No (zero) internal audit reports have been prepared since 1 Jan 2016 on the Incubator Support Initiative.

4. all Incubator Support Initiative compliance reviews as per s. 14.4.

No specific compliance activities have been undertaken on the Incubator Support Initiative (ISI) beyond normal evaluation and grants administration processes.

In terms of managing the compliance of ISI, the following is noted:

- A post-commencement evaluation was conducted on the ISI between May-November 2018, under the [Department of Industry, Innovation and Science: Evaluation Strategy 2017-21](#).
- The post-commencement evaluation focussed on the following:
 - *What need is the Incubator Support initiative addressing?*
 - *To what extent is the design of Incubator Support evidence-based and logically consistent?*
 - *Were the set up phase and grant delivery process appropriate?*
 - *Are governance arrangements effective?*

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- *Are mechanisms in place for robust performance assessment of IS?*
- The final post-commencement evaluation report has not been made publically available.

5. all Incubator Support Initiative audit reports that address:

- *insider threats as per s. 5.4, or*
- *employment screening as per s. 13.2, or*
- *leave policies as per s. 13.3, or*
- *conflicts of interest as per ss. 5.2 & 13.4, or*
- *cyber and digital awareness as per s. 5.5, or*
- *ICT compliance as per ss. 13.5 & 14.5.*

None (zero) – refer to Q3, no audit reports have been prepared on the Incubator Support initiative.