



**ASIC**  
Australian Securities &  
Investments Commission

**Australian Securities  
and Investments Commission**

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27 October 2020

Phillip Sweeney  
By email only: [foi+request-6834-  
d7650cf3@righttoknow.org.au](mailto:foi+request-6834-d7650cf3@righttoknow.org.au)

Dear Mr Sweeney

**Freedom of Information Request No. FOI 208-2020**

**Acknowledgement of Request**

I refer to your request received by us on 25 October 2020 under the *Freedom of Information Act 1982 (FOI Act)* in which you have sought information from the Australian Securities and Investments Commission (**ASIC**).

Your email seeks access to the following:

*The ASIC Chairman, James Shipton, and Deputy Chairman, Daniel Crennan, are required to make 6-monthly written disclosures of their personal business interests, both direct and indirect, to the Responsible Minister as required by Section 123 of the ASIC Act 2001 and related ministerial instructions.*

*The documents I seek are copies of:*

- i. the written disclosures of James Shipton to the Responsible Minister for the period 1 February 2018 to the present; and*
- ii. the written disclosures of Daniel Crennan to the Responsible Minister for the period 16 July 2018 to the present.*

As your request was received by ASIC on 25 October 2020 and the 30-day statutory period for processing the request commenced on the day after the date of receipt, you should therefore expect a decision to be made by 24 November 2020.

The 30-day processing period may be extended should ASIC find it is necessary to consult third parties, where a charge is to be imposed on the processing of the request or for other reasons. You will be advised if there are changes to the 30-day processing period.

Please note that any documents released to you under the FOI Act may later be published online on the ASIC disclosure log in accordance with our

obligation to do so under the Act. This requirement to publish released documents is subject to certain exceptions for example, personal or business information will not be published where it would be unreasonable.

If you have any questions or wish to discuss your request, please email me at [Justin.Frank@asic.gov.au](mailto:Justin.Frank@asic.gov.au) .

Yours faithfully,

**Justin Frank**

Lawyer, FOI & Privacy

(Authorised decision maker under section 23(1) of the FOI Act)