



ASIC
Australian Securities &
Investments Commission

**Australian Securities
and Investments Commission**

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20 July 2021

Mr Lucas Baird

By email only: foi+request-7574-b20e16d7@righttoknow.org.au

Dear Mr Baird

**Freedom of Information Request No. FOI 128-2021
Acknowledgement of Request**

I refer to your email received by ASIC on 12 July 2021 under the *Freedom of Information Act 1982 (FOI Act)* in which you have sought information from the Australian Securities and Investments Commission (**ASIC**).

Your request seeks access to the following information:

“any and all communications between ASIC and any airlines about securing them as the preferred provider of travel services to the regulator for financial year 2021 and financial year 2022.

I also request any log of gifts as accrued to regulatory staff from the airlines, including upgrades, lounge access and any other hospitality”

Partial transfer

I can advise that we have identified that the first part of your request is more appropriately responded to by another agency. Accordingly, the first element of your request has been transferred to The Department of Finance under s 16(1)(b) of the FOI Act. Section 16(1)(b) enables an agency to transfer a request, or part of a request, if the subject matter of the request is more closely connected with the functions of another agency.

The FOI team at the Department of Finance can be contacted with respect to your request at FOIA@finance.gov.au.

Processing of the remaining request

ASIC will process the remaining aspects of your request. We have taken the scope of your request to refer to gifts received in the 2021 and 2022 financial years. I note that [ASIC's Gifts Benefits and Hospitality register](#) is publicly available and contains details of all gifts, benefits and hospitality accepted by ASIC staff that are valued at \$100 and over.

As your request was received on 12 July 2021 and the 30-day statutory period for processing the request commenced on the day after the date of receipt, you should expect a decision to be made by **11 August 2021**.

The 30-day processing period may be extended should ASIC find it is necessary to consult third parties, where a charge is to be imposed on the processing of the request or for other reasons. You will be advised if there are changes to the 30-day processing period.

Please note that any documents released to you under the FOI Act may later be published online on the ASIC disclosure log in accordance with our obligation to do so under the Act. This requirement to publish released documents is subject to certain exceptions for example, personal or business information will not be published where it would be unreasonable.

Yours sincerely,

A handwritten signature in blue ink, appearing to be 'J. Frank', written in a cursive style.

Justin Frank

(Authorised decision maker under section 23(1) of the FOI Act)