



ASIC

Australian Securities & Investments Commission

7 January 2015

Level 7, 120 Collins Street,
Melbourne VIC 3000
GPO Box 9827, Melbourne VIC 3001
DX 423 Melbourne

Mr Phillip Sweeney

Via Email – foi+request-848-6c4004fb@righttoknow.org.au

Via Email - foi+request-847-3f8224ff@righttoknow.org.au.

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Dear Mr Sweeney

Acknowledgment of Freedom of Information Request

I refer to two emails of 2 January 2015 received by this office on the same date in which you sought access under the *Freedom of Information Act 1982 (FOI Act)*.

In your first email received at 12:06pm you made the following access request:

"... copies of the first 10 letters or other correspondence between ASIC and Trio Capital Limited (or any subsidiary companies) commencing on 2 October 2009".

In your second email received at 12:15pm you made the following access request:

"... copies of any correspondence between the Chairman of ASIC (either Tony D'Aloisio or Greg Medcraft) or any other ASIC Officer and Dr Ken Henry in relation to allegations made against Trio Capital Limited (or any funds managed by Trio Capital) and the subsequent investigation conducted by ASIC in relation to Trio Capital Limited and the funds under its management."

Should you have any questions concerning the processing of your request please contact me on 03 9280 4213.

Yours sincerely

Aldo Paciocco
Administrative Law Team
Chief Legal Office